



McGOEY, HAUSER and EDSALL  
CONSULTING ENGINEERS D.P.C.

MARK J. EDSALL, P.E., P.P. (NY, NJ & PA)  
MICHAEL W. WEEKS, P.E. (NY, NJ & PA)  
MICHAEL J. LAMOREAUX, P.E. (NY, NJ, PA, VT & VA)  
MATTHEW J. SICKLER, P.E. (NY & PA)  
PATRICK J. HINES

Main Office  
33 Airport Center Drive  
Suite 202  
New Windsor, New York 12553

(845) 567-3100  
fax: (845) 567-3232  
e-mail: mheny@mhepc.com

Principal Emeritus:  
RICHARD D. McGOEY, P.E. (NY & PA)  
WILLIAM J. HAUSER, P.E. (NY, NJ & PA)

**TOWN OF NEWBURGH  
PLANNING BOARD  
TECHNICAL REVIEW COMMENTS**

**PROJECT:** NYCDEP RONDOUT WEST BRANCH BYPASS TUNNEL BELL  
PROPERTY AMENDED SITE PLAN  
**PROJECT NO.:** 11-15  
**PROJECT LOCATION:** SECTION 8, BLOCK 1, LOT 15.2 & 22.2  
**REVIEW DATE:** 13 MARCH 2015  
**MEETING DATE:** 19 MARCH 2015  
**PROJECT REPRESENTATIVE:** NYCDEP

1. A revised SWPPP addressing storm water quantity and quality controls on the expanded site must be submitted.
2. Consideration as to where overflow from the storm water management facilities discharge if emergency outlets discharge.
3. A SWPPP must address a greater than 5 acres disturbance proposed.
4. SWPPP must address any chemicals utilized in the water treatment plan area or chemical additives for any of the dewatering/storm water management facilities proposed.
5. Design of the storm water pumping system must be submitted to the MS4 for review. If this is deferred as a contractor item, a submittal from DEP from the contractor should be forwarded to the regulated MS4.
6. Plans depict notes which state that grading for water treatment ponds is schematic in nature and appears to defer to future contractor design of the facilities. Future submissions modifying approved site plans must be supplied to the planning board for review.
7. Show connection between the large sediment 4 bay for receives flow from catch basin 2 to catch basins. No connection between the sediment 4 bay and the treatment pond is apparent.

Respectfully submitted,

***McGoey, Hauser & Edsall  
Consulting Engineers, D.P.C.***

---

Patrick J. Hines  
Principal



Environmental  
Protection

Emily Lloyd  
Commissioner

Sean McAndrew, P.E.  
Program Director

Water for the Future  
Program

Bureau of Engineering  
Design & Construction

mcandrews@dep.nyc.gov

16 Little Hollow Road  
P.O. Box 260  
Grahamsville, NY 12740

T: (845) 334-7195  
F: (845) 985-2282

March 5, 2015

MAR - 5 2015

Mr. John P. Ewasutyn, Chairman  
Town of Newburgh Planning Board  
308 Gardner Town Road  
Newburgh, New York 12550

Re: New York City Department of Environmental Protection  
Delaware Aqueduct Rondout-West Branch Tunnel Repair Program  
West Connection Support Site (Bell Property) Final Design &  
Shaft 5B Landscaping Modifications  
Town Project Number 2011-15

Dear Mr. Ewasutyn:

The New York City Department of Environmental Protection (DEP) respectfully requests approval of modifications to the site plan for Project 2011-15 originally granted in 2012<sup>1</sup> in order to incorporate changes encountered during the construction of the Delaware Aqueduct Rondout-West Branch Tunnel (RWBT) Repair Program West Connection Site, also known as Shaft 5B. As described in the attached project description, figures, design drawings, and slope stability documentation, the modifications include a major change, namely the proposed acquisition and development of the West Connection Support Site (i.e., the Bell Property, Tax Lot 8-1-22.2) which will roughly double the area of DEP's ongoing construction in the Town of Newburgh. The modifications also include minor field changes to the landscaping plans to the existing Shaft 5B site.

DEP has proposed the expansion into the Bell property for the purposes of placement of material from bypass tunnel excavation, process water treatment, and construction storage and laydown area. Beyond efficiency benefits for DEP, the use of the Bell property for these purposes would reduce the traffic associated with transporting excavated material from the bypass tunnel on Route 9W as the material would be handled solely on the internal, larger, combined site.

The intention of this submission is to submit the final design drawings to the Planning Board, and to continue the dialogue with the ultimate aim of obtaining approval for the modified site plan. Please note that several additional items will be delivered to your office under separate cover in the coming weeks and months, including an addendum to the original environmental impact statement to cover the West Connection Support Site; an updated stormwater pollution prevention plan (SWPPP); and an escrow payment of \$5,000.00 for project 2011-15.


<sup>1</sup> July 5, 2012 Planning Board Resolution; October 22, 2012 Letter of Agreement Executed by Town Supervisor and Planning Board Chair; and October 24, 2012 Planning Board Chair Endorsed Site Plans.

Mr. John P. Ewasutyn, Chairman  
Town of Newburgh Planning Board  
March 5, 2015  
Page 2

Per your communications with Mr. Chris Villari, we will be prepared to attend the Planning Board meeting on Thursday, March 19, 2015.

Should you have any questions or require additional information in the meantime, please do not hesitate to contact me or my staff, and we thank you once again for your attention to this critical water supply project.

Sincerely,



Sean McAndrew

cc.

Town of Newburgh Planning Board (care of the Chairman) -- 7 full packages with 11x17 drawings  
Michael Donnelly, Town of Newburgh Planning Board Attorney -- full package with 11x17 drawings  
Patrick Hines, Town of Newburgh Planning Board Engineer -- full package with full size drawings  
Gerald Canfield, Town of Newburgh Code Compliance Officer -- full package with full size drawings  
Ken Wersted, Town of Newburgh Traffic Consultant -- full package with 11x17 drawings  
Gil Piaquadio, Town of Newburgh Supervisor -- full package with 11x17 drawings  
Mark Taylor, Town of Newburgh Attorney, -- full package with 11x17 drawings  
James Osborne, Town of Newburgh Engineer -- full package with full size drawings  
Dan Michaud, DEP BWS (w/o attachments)  
Ted Dowey, DEP BEDC (w/o attachments)  
Phil Simmons, DEP BEDC (w/o attachments)  
C. Villari, DEP BEDC (w/o attachments)

## **Project Description**

New York City Department of Environmental Protection  
Delaware Aqueduct Rondout-West Branch Tunnel Repair Program  
West Connection Site/Shaft 5B  
Town Project Number 2011-15<sup>1</sup>

### **West Connection Support Site (Bell Property) Final Design Shaft 5B Landscaping Modifications**

March 4, 2015

#### **Introduction**

The New York City Department of Environmental Protection (DEP) respectfully requests approval of modifications to the site plan for Project 2011-15 originally granted in 2012<sup>2</sup> in order to incorporate changes encountered during the construction of the Delaware Aqueduct Rondout-West Branch Tunnel (RWBT) Repair Program West Connection Site, also known as Shaft 5B. As described below, the modifications include a major change, namely the proposed acquisition and development of the West Connection Support Site (i.e., the Bell Property) which will roughly double the area of DEP's ongoing construction in the Town of Newburgh. The modifications also include minor field changes to the landscaping plans to the existing Shaft 5B site.

The following provides descriptions of specific modifications related to the acquisition and use of the West Connection Support Site and the Shaft 5B landscaping changes. Additional information regarding the larger Water For the Future (WFF) project and supporting information for the support site (e.g., zoning, land use) are included, as well.

#### **Description of Modifications**

Subsequent to the start of ongoing construction, DEP has taken advantage of an opportunity to improve the Shaft 5B project through the acquisition of an adjacent 30.8 acres, more than doubling the site area. Hence, the clear need to document design changes and obtain Town Planning Board approval. DEP has also encountered minor field changes during construction that require a change in landscaping design at the original site. Both sets of changes are presented in one modification package as the two sites will be merged as part of the same project.

---

<sup>1</sup> The site plan approval process was initiated under project number 2011-15, and several post-approval site plan updates were submitted under project number 2013-5. The initial project number, 2011-15, will be used going forward.

<sup>2</sup> July 5, 2012 Planning Board Resolution; October 22, 2012 Letter of Agreement Executed by Town Supervisor and Planning Board Chair; and October 24, 2012 Planning Board Chair Endorsed Site Plans.

Please note the attached figures which are intended to help the reviewer visualize the modifications. Figures 1 through 9 include annotated excerpts from site plans. Figures 10 through 18 include renderings of the combined site with during- and post-construction views.

**Major Modification: West Connection Support Site Acquisition (i.e., Bell Property)** (see figures 1-6)

***Purpose***

To better support the construction of the RWBT bypass, DEP will expand the existing Shaft 5B site by adding the 30.8-acre Bell property (i.e., the West Connection Support Site or support site) to the site plan. The additional space would be used for the following purposes (see figure 4).

- Permanent placement of excavated material, on the order of 375,000 cubic yards, from the construction of the bypass tunnel, thereby raising and generally leveling the elevation of the Support Site;
- Location of temporary settling ponds and treatment facilities to properly treat process water and groundwater infiltration from the tunnel excavation during construction; and
- Supplemental, temporary storage and laydown to facilitate the bypass tunnel construction, primarily for tunnel lining segments.

In addition to cost savings and efficiency gains, the use of the Bell property for these purposes would provide major benefits to the project, as follows, most importantly, reduced truck traffic.

- Streamline incoming construction material storage and handling;
- Provide for more effective process and infiltration water treatment; and
- Reduce truck traffic by transporting excavated material within the greater site (i.e., from Shaft 5B to the Support Site) and precluding these trucks from traffic on Route 9W.

***Final Disposition (see figures 5, 6, 11, 12, and 18)***

After construction of the bypass tunnel and completion of the connection to the aqueduct, the Support Site would be returned to a state similar to its existing meadow/forest condition, albeit with a modified topography due to the placement of excavated material from the tunnel. DEP's intention in working with the Town of Newburgh was to create a scheme that would mirror and complement the landscaping plan for the existing 5B site.

Of note, the design calls for a 60-foot buffer of existing trees and vegetation to be maintained along the perimeter of the site throughout construction. This buffer would serve to largely screen the Support Site from view during construction and contribute to successional reforestation in the final condition.

***Location (see figure 1)***

The 30.8-acre West Connection Support Site property (Tax Lot 8-1-22.2) is located immediately north of and adjacent to Tax Lot 8-1-19.1, the lot comprising the majority of the existing Shaft 5B site. To the east, the Support Site property is bordered by Tax Lots 8-1-21.12 and 8-21.22. A narrow portion of the lot also extends to Route 9W. To the north, the site is bounded by a Central Hudson Gas and Electric (CHGE) utility right of way (8-1-31.12). And to the west, the site is adjacent to five lots, including 8-1-85.2, 8-1-85.3, 8-1-85.4, 8-1-4.22, and 8-1-5.1.<sup>3</sup>

***Zoning and Land Use (see figure 2)***

The Support Site property is zoned “AR, Agricultural” with a “Professional Office Overlay (O)” covering a small portion along the eastern boundary of the site.<sup>4</sup> Note that it is DEP’s understanding per consultation with the Town Planning Board that with the addition of the Support Site property, the full, combined site would be considered a public utility, as the Shaft 5B site is currently categorized with regard to zoning and use variances.

**Minor Modifications: West Connection Site (i.e., Existing Shaft 5B Site) (see figures 7-9)**

***Field Changes***

Due to field conditions encountered during construction and design changes, several minor modifications to the existing Shaft 5B site landscaping design need to be reflected in the NYCPDC approval. These changes include the following.

- The planting on the east facing constructed tiered slope has been revised due several field conditions.
  - Species substitution – The constructed slope dictates the limit of topsoil placement over the structural fill to deter soil erosion. Plants were substituted that can thrive in this shallow topsoil depth.
  - Relocation of plantings along benches – Access for maintenance of the plants and electrical poles occurs exclusively on the slope “benches” or level tiers. Therefore, plantings in these areas have been relocated.

---

<sup>3</sup> Town of Newburgh Tax Year 2013, Tax Map 334600, Orange County Tax Map Department, New York

<sup>4</sup> Town of Newburgh, Orange County, New York, Official Zoning Map, Orange County Planning Department, October 22, 2012.

- Deer fencing – To support the growth and establishment of saplings, deer fencing is proposed around planted areas.
- Safety barrier – Field conditions dictated the placement of a wider safety barrier along the roadway encircling the shaft and adjacent laydown areas, occupying some of the area originally intended for plantings along the ridgeline. The landscaping plan has been adjusted in this area, but maintains the original intent of visual diversity and screening.
- Slope stabilization – Drainage conditions predicated the placement of rip rap “panels” on portions of the east facing slope where sloughing occurred. The rip rap has effectively stabilized those areas of slope, although, some of the “panels” are located in areas originally intended for plantings.

As part of the overall redesign of the landscape plan, the plantings precluded by the rip rap panels have been relocated, and the panels themselves have been incorporated into the design. Over time, the edges of the panels will soften, and in conjunction with the overall planting scheme, the original intention of establishing visual diversity on the slope will be met.

- 9W site frontage
  - Plantings in the NYSDOT right-of-way – Trees that were proposed within the road’s right-of-way have been relocated.
  - Turn-around island additions – Plantings have been added to the turn-around island at the entrance to the site. These will aid in screening the east facing slope from 9W during construction, while the slope is developing into its full meadow condition. When shaft and tunnel construction are complete, the turn-around will be removed and these plantings will be replaced with a bioretention basin. The final condition plantings proposed for this area have not changed.
  
- New planting areas
  - Native plant material has been proposed in other areas of the site that allow for deep topsoil depth and do not require regular access by construction / DEP personnel. This will encourage future growth and reforestation of the site. These areas include:
    - Hilltop above the access road rock face which was adjusted, in part, due to the installation of the road cut slope stabilization (i.e.,



soil nails, rock bolts, and shotcrete) addressed in previous Planning Board meetings and communications.

- Lowland area to the south of the on-site stream.

### **Water for the Future Background**<sup>5</sup>

DEP has developed the Water for the Future (WFF) Program: Delaware Aqueduct RWBT Repair project to address known leaks in the RWBT section of the Delaware Aqueduct that currently conveys more than 50 percent of the daily drinking water for New York City and is the primary source of water for residents and businesses of the Towns of Newburgh and Marlborough. This critical component of water supply infrastructure conveys an annual average of 600 million gallons per day from the upstate Cannonsville, Pepacton, Neversink, and Rondout reservoirs to a population of approximately 9 million people.

There are two areas of significant leakage in the RWBT, the Wawarsing and Roseton crossings. Together, they leak approximately 35 million gallons of water per day. The Wawarsing crossing can be repaired from within the tunnel; however, the Roseton crossing poses additional challenges. Therefore, DEP will construct a bypass tunnel around the leaking areas in Roseton, which would consist of a new tunnel segment 600 feet below the Hudson River to bypass the leaking section, and two shafts at each end - one in the Town of Newburgh, Orange County, New York (West Connection Site, Shaft 5B), and one in the Town of Wappinger, Dutchess County, New York (East Connection Site, Shaft 6B). This work began in March 2013 and is scheduled to be completed in 2021. Once the shafts and bypass tunnel are constructed, the aqueduct would be shut down and unwatered. At that time the leaks in Wawarsing would be repaired, and the bypass tunnel would be connected to the existing tunnel. This work would begin in the 2020/2021 time frame and take between 6 and 15 months.

Design is underway for other projects intended to augment the City's water supply during the shutdown of the RWBT, for instance, Queens groundwater wells rehabilitation project and the Catskill Aqueduct Repair and Rehabilitation project.

### **Approval Status**

The project has worked with the Planning Board on the initial Site Plan Approval and various updates to the site plan drawings, as follows:

- Site Plan Approval Granted in 2012
  - July 5, 2012 Planning Board Resolution
  - October 22, 2012 Letter of Agreement Executed by Town Supervisor and Planning Board Chair

---

<sup>5</sup> Water for the Future Program: Delaware Aqueduct Rondout-West Branch Tunnel Repair Final Environmental Impact Statement, CEQR No. I0DEP042U, Prepared by New York City Department of Environmental Protection, May 2012.

- October 24, 2012 Planning Board Chair Endorsed Site Plans
- Existing Modifications
  - February 20, 2013 (regrading and stormwater management)
  - October 17, 2013 (grading, PRV vault relocation concept, entrance road, stormwater management, retaining wall concept)
  - April 15, 2014 (rock delivery to Milton Waterfront Park, LED lighting, security fence)
  - October 2, 2014 (Saturday work hours)
  - October 2, 2014 (alternate stream crossing)
  - October 16, 2014 (PRV vault location final)
  - December 15, 2014 (retaining wall final)
- Proposed Modification
  - March 5, 2015 (West Connection Support Site, landscaping)

### **Construction Status**

As of January 2015, site preparation is largely complete, although additional landscaping will be installed in the forthcoming spring and fall planting seasons. Shaft excavation has progressed to roughly 450 feet deep. The procurement process for the tunneling work has been initiated, and a contractor should be on board mid to late 2015.

### **Project Number and Escrow**

The initial project number, 2011-15, will be reinstated and used for this West Connection Support Site and landscaping modification request as well as any others in the future. Note that many of the modifications listed above used the project number 2013-5.

In addition, the escrow account to support the review of the modification request will be opened under the initial project number, 2011-15, at a level of \$5,000.00.

### **Supporting Documentation and Drawings**

The following supporting drawings and documentation are included in this submission.

- Attached figures
- West Connection Site - Site Plan Application Modification, 58 sheets, February 2015.
- West Connection Support Site: Slope Stability Analyses, January 28, 2015.

Forthcoming submissions will include:

- Stormwater Pollution Prevention Plan (SWPPP)
- Environmental Review documentation – An addendum to the original environmental impact statement<sup>6</sup> covering the project is under development and will be submitted to the Planning Board in the coming weeks. The review will include cumulative transportation, air, noise, greenhouse gas, and historic resources assessments for use of both the current site and the proposed Support Site.

---

<sup>6</sup> Water for the Future Program: Delaware Aqueduct Rondout-West Branch Tunnel Repair Final Environmental Impact Statement, CEQR No. 10DEP042U, Prepared by New York City Department of Environmental Protection, May 2012.

## Major Modification: Addition of West Connection Support Site

Major Purposes for acquisition of West Connection Support Site

- Placement of excavated material, on the order of 375,000 cubic yards, from the construction of the Bypass Tunnel.
- Location of settling ponds and treatment facilities to properly treat water.
- Supplemental storage and laydown to facilitate the bypass tunnel construction, primarily for tunnel lining segments.

Major Benefits for Site Use

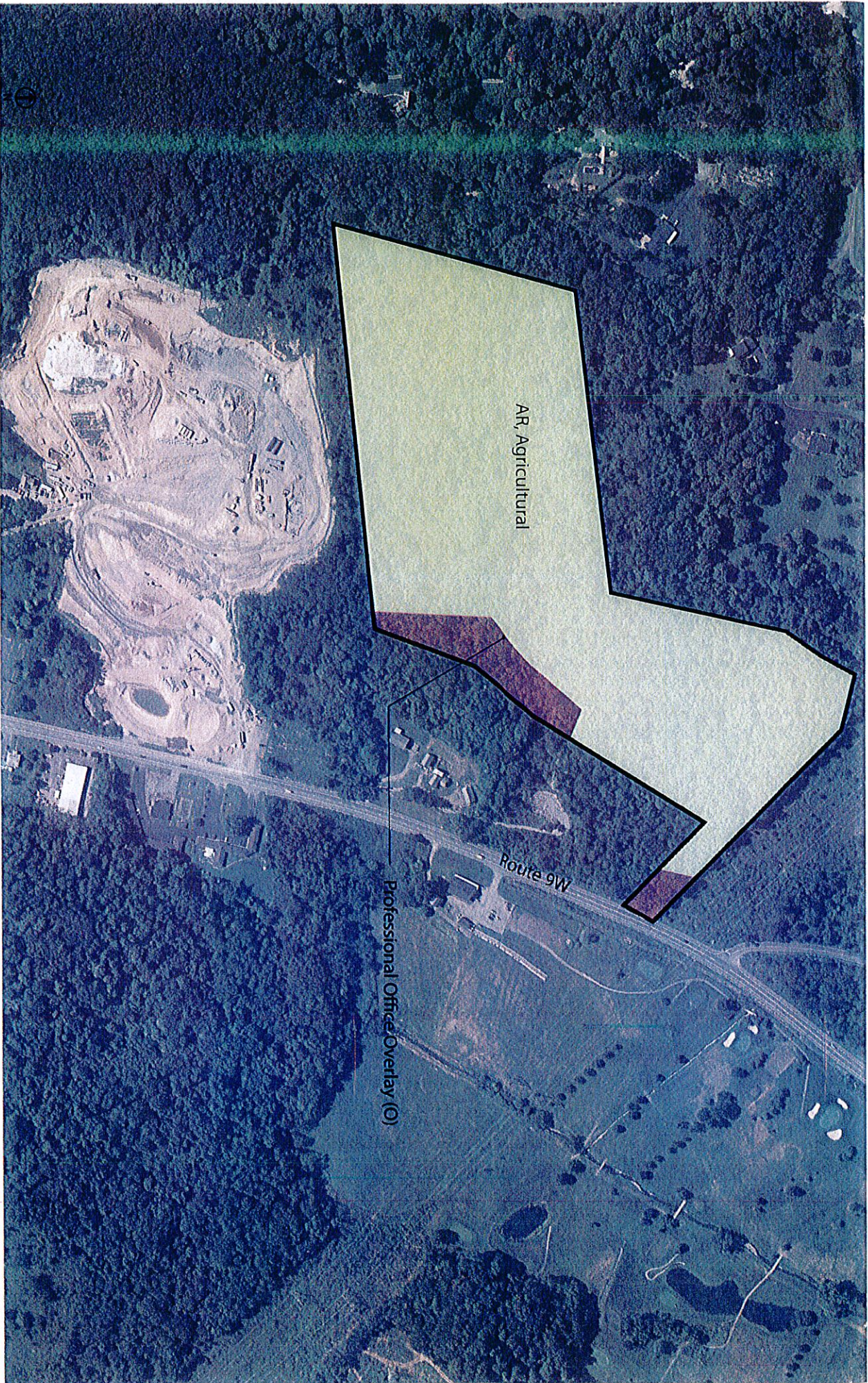
- Streamline incoming construction material storage and handling.
- Provide for more effective water treatment.
- Reduce truck traffic by transporting excavated material within the site (i.e., from Shaft 5B to the adjacent Bell Property) and removing these trucks from traffic on Route 9W.

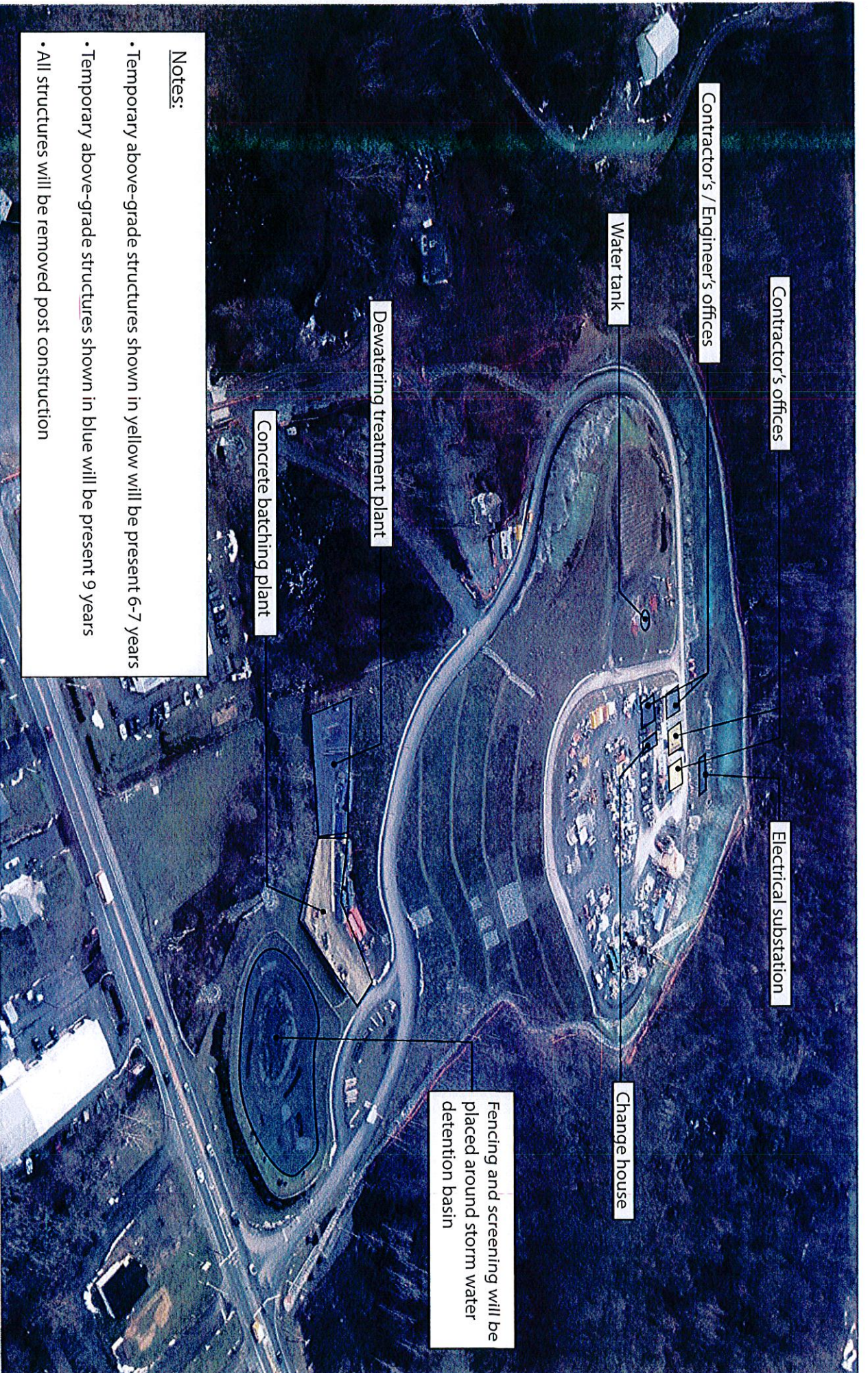
Minor Modifications:

## Landscaping Field Changes

- Due to field conditions encountered during construction and minor design changes, several minor modifications to the existing Shaft 5B site landscaping design are reflected in this modification request.







Contractor's offices

Electrical substation

Contractor's / Engineer's offices

Water tank

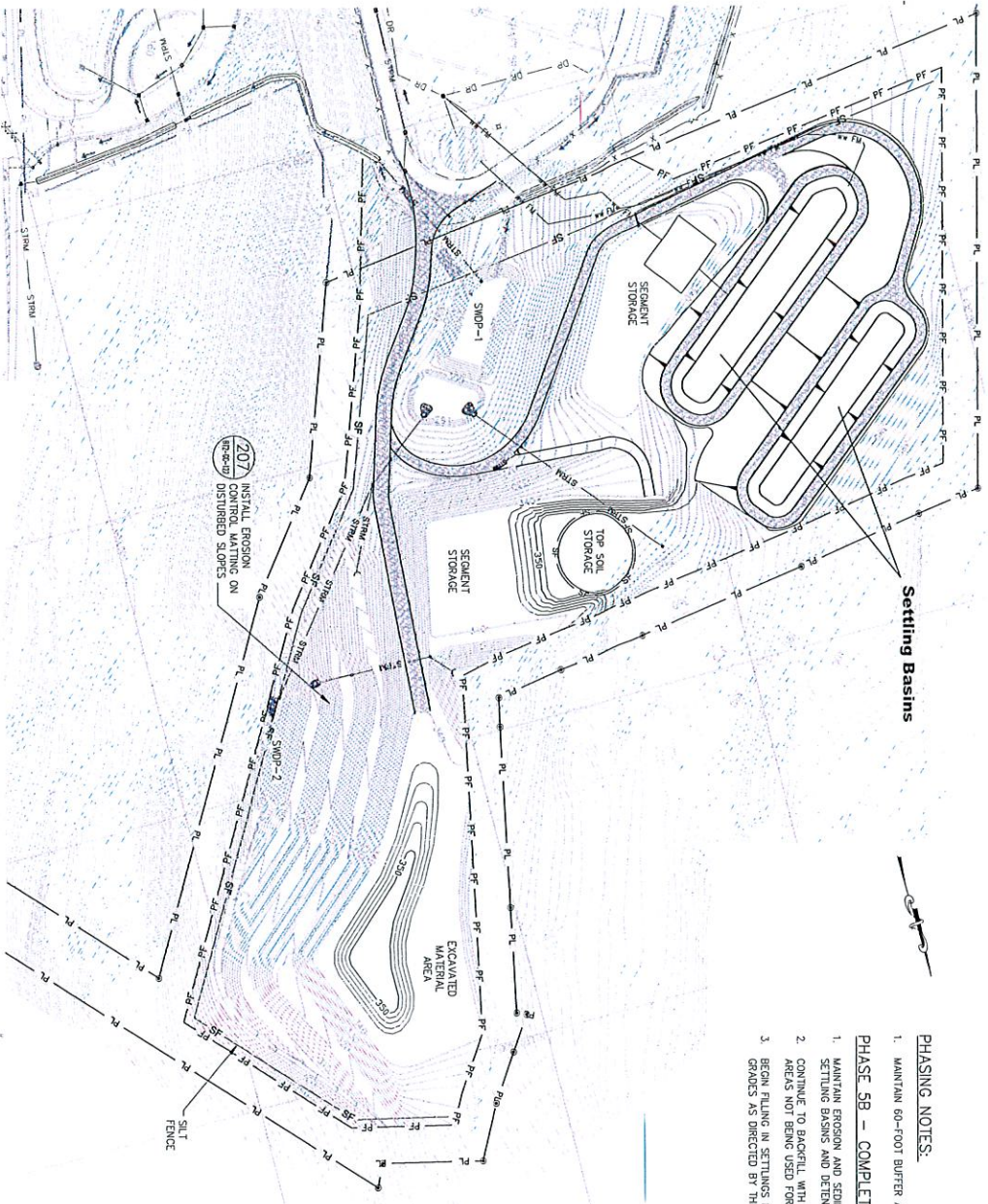
Change house

Dewatering treatment plant

Fencing and screening will be placed around storm water detention basin

Concrete batching plant

- Notes:**
- Temporary above-grade structures shown in yellow will be present 6-7 years
  - Temporary above-grade structures shown in blue will be present 9 years
  - All structures will be removed post construction



**PHASING NOTES:**

1. MAINTAIN 60'-FOOT BUFFER AROUND PROPERTY.

**PHASE 5B - COMPLETION OF SEDIMENT FILL AREA.**

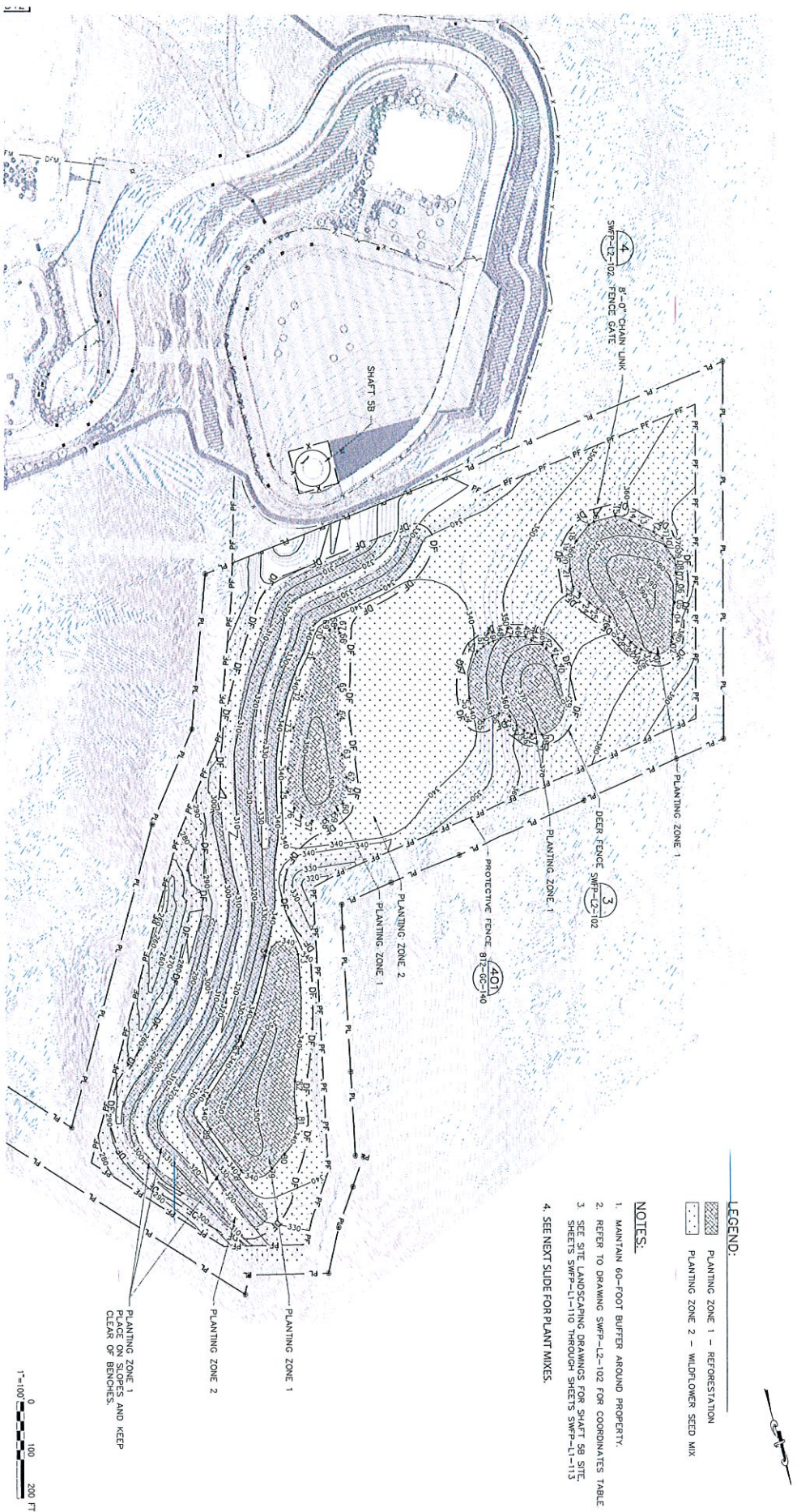
1. MAINTAIN EROSION AND SEDIMENT CONTROL DEVICES. BEGIN STOCKPILING MATERIAL TO FILL SETTLING BASINS AND DETENTION BASINS FOR REUSE THROUGH STORM.
2. CONTINUE TO BACKFILL WITH TOP-SOIL ON SEDIMENT STORAGE AREA AND EXCAVATED MATERIAL AREAS NOT BEING USED FOR STORAGE OF MATERIALS. REFER TO SECTIONS ON SWPP-C2-132.
3. BEGIN FILLING IN SETTLING BASINS AND PERFORM FINAL TILING AND GRADING FOR RESTORATION GRADES AS DIRECTED BY THE ENGINEER.





- GENERAL NOTES:**
1. MAINTAIN 60'-FOOT BUFFER AROUND PROPERTY.
  2. FINAL SITE GRADING OF SETTLING BASINS SHALL MATCH EXISTING GRADING.
  3. REFER TO DRAWINGS SWP-12-101 FOR SITE RESTORATION AND LANDSCAPING.
  4. INSTALL AND MAINTAIN SLOTTED FENCE DOWN GRADIENT OF ALL DISTURBED AREAS UNTIL SITE RESTORATION IS ESTABLISHED AND APPROVAL IS GIVEN BY THE ENGINEER. REFER TO SECTION 31.25.13 - DUST, SOIL EROSION AND SEDIMENT CONTROL ON THE SPECIFICATIONS.
  5. THE GRADES SHOWN ON THIS PLAN ARE BASED ON AN ESTIMATED VOLUME OF FILL GENERATED BY THE TUNNELING OPERATION SHOULD THE TUNNELING PROJECT BE ABANDONED. THE GRADES SHOWN ON THIS PLAN ARE BASED ON AN ESTIMATED VOLUME OF FILL GENERATED BY THE TUNNELING OPERATION SHOULD THE TUNNELING PROJECT BE ABANDONED. THE GRADES SHOWN ON THIS PLAN ARE BASED ON AN ESTIMATED VOLUME OF FILL GENERATED BY THE TUNNELING OPERATION SHOULD THE TUNNELING PROJECT BE ABANDONED. THE GRADES SHOWN ON THIS PLAN ARE BASED ON AN ESTIMATED VOLUME OF FILL GENERATED BY THE TUNNELING OPERATION SHOULD THE TUNNELING PROJECT BE ABANDONED. THE GRADES SHOWN ON THIS PLAN ARE BASED ON AN ESTIMATED VOLUME OF FILL GENERATED BY THE TUNNELING OPERATION SHOULD THE TUNNELING PROJECT BE ABANDONED.







**LEGEND:**

-  PLANTING ZONE 1 - REFORESTATION
-  PLANTING ZONE 2 - WILDFLOWER SEED MIX

**NOTES:**

1. MAINTAIN 60-FOOT BUFFER AROUND PROPERTY.
2. REFER TO DRAWING SWP-L2-102 FOR COORDINATES TABLE
3. SEE SITE LANDSCAPING DRAWINGS FOR SHAFT 59 SITE SHEETS SWP-L1-110 THROUGH SHEET SWP-L1-115
4. SEE NEXT SLIDE FOR PLANT MIXES.

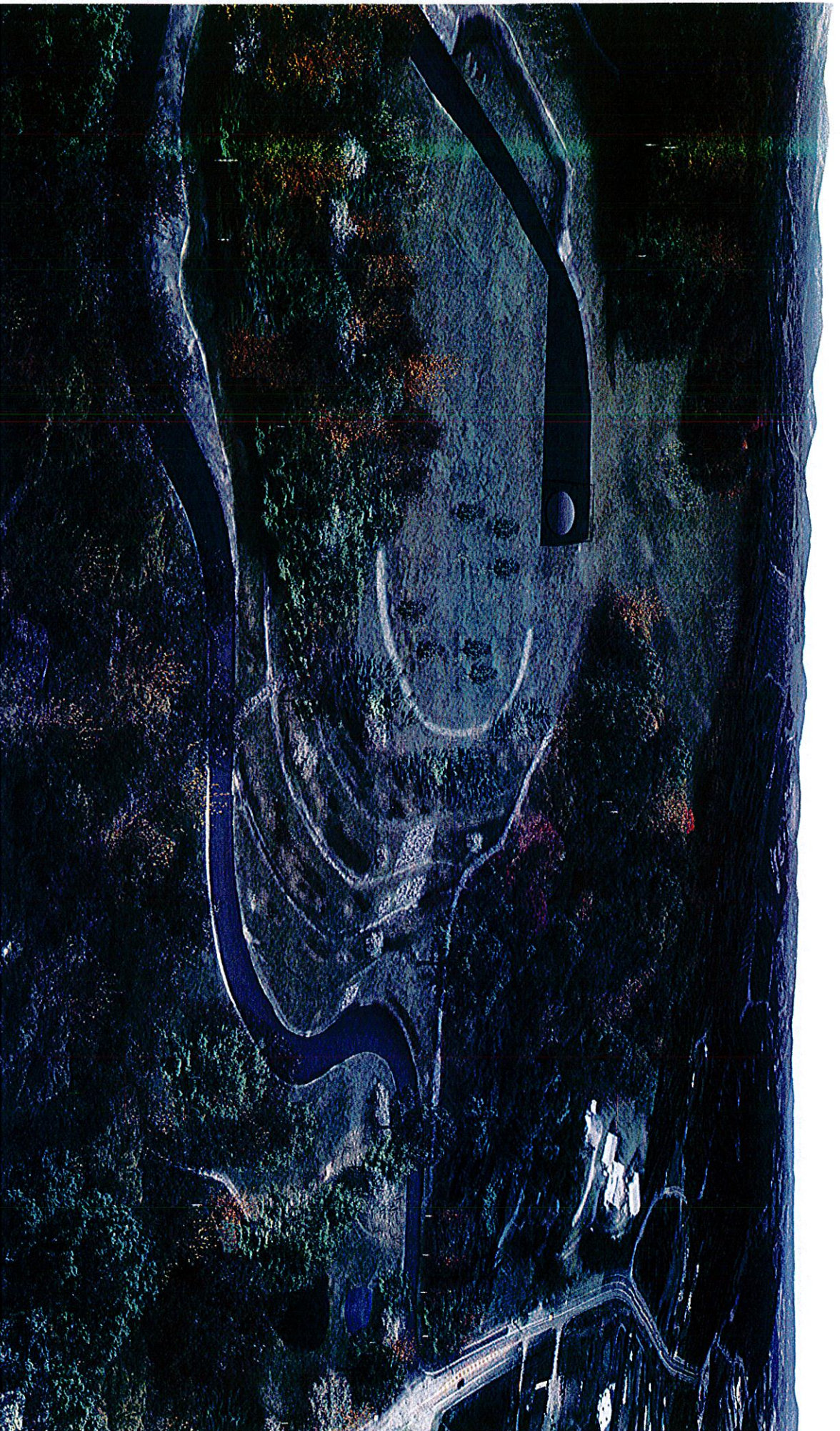














ROUNDOUT - WEST BRANCH TUNNEL - WEST CONNECTION  
FEBRUARY 2015

AERIAL PERSPECTIVE  
R1 - POST CONSTRUCTION (10-15 YEAR MATURITY)  
**Figure 12**



Route 9W Entrance To Shaft 5B Site (West Connection Support Site In Background)



SHAFT 5B SITE

WEST CONNECTION SUPPORT SITE



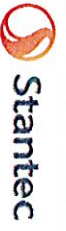
Route 9W Entrance To Shaft 5B Site (West Connection Support Site In Background)



ROUNDOUT - WEST BRANCH TUNNEL - WEST CONNECTION  
FEBRUARY 2015

9W STREET VIEW PERSPECTIVE  
R2 - POST CONSTRUCTION  
**Figure 14.**

SHAFT SB SITE



ROUNDOUT - WEST BRANCH TUNNEL - WEST CONNECTION  
FEBRUARY 2015

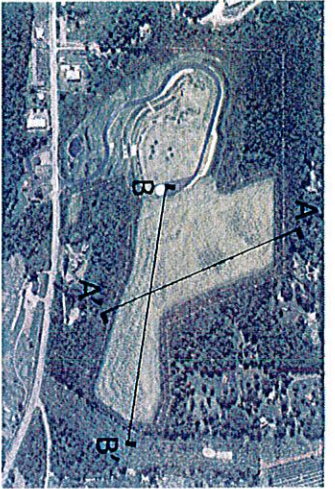
9W STREET VIEW PERSPECTIVE  
R3 - DURING CONSTRUCTION  
**Figure 15**

SHAFT 5B SITE



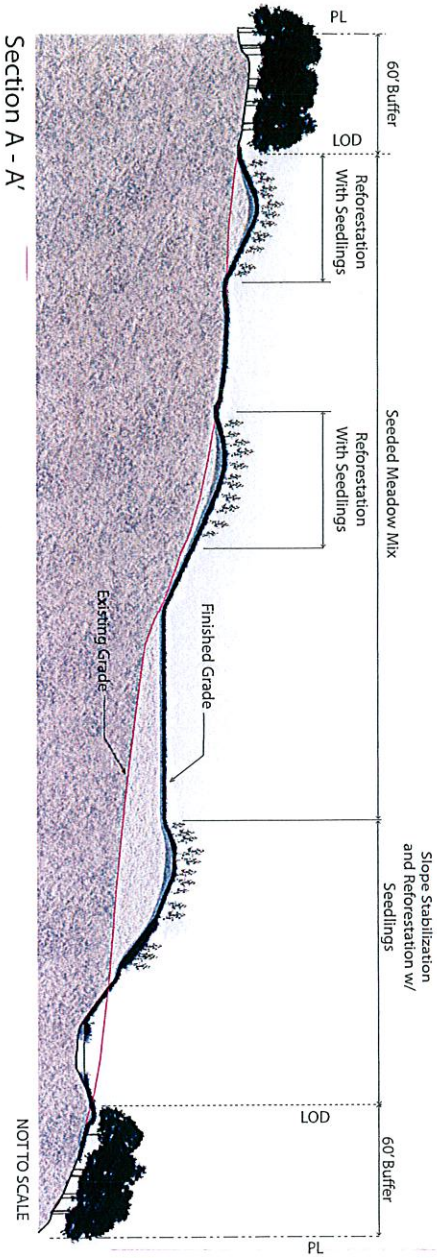
ROUNDOUT - WEST BRANCH TUNNEL - WEST CONNECTION  
FEBRUARY 2015

9W STREET VIEW PERSPECTIVE  
R3 - POST CONSTRUCTION  
Figure 16



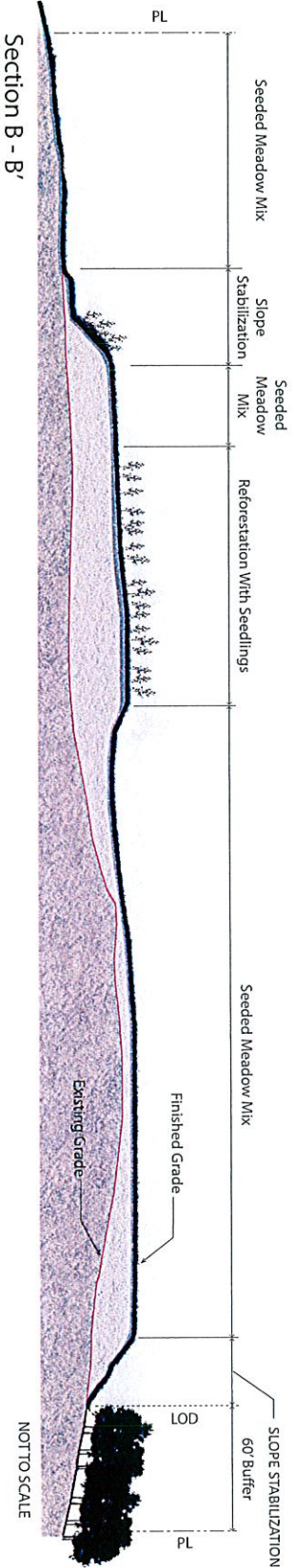
Key Map

NOT TO SCALE



Section A - A'

NOT TO SCALE



Section B - B'

NOT TO SCALE

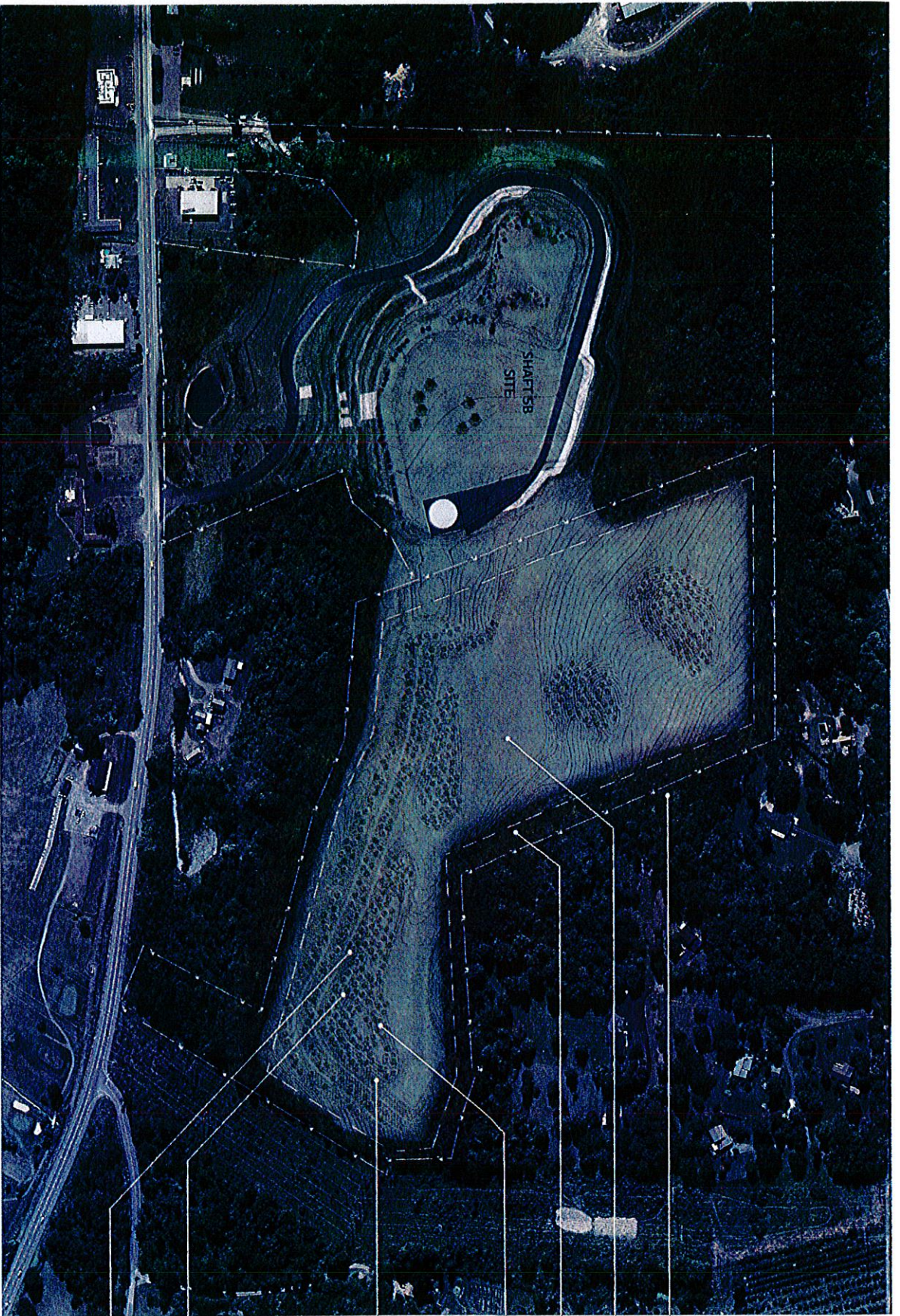


ROUNDOUT - WEST BRANCH TUNNEL - WEST CONNECTION  
FEBRUARY 2015

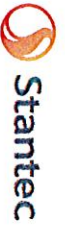
WEST CONNECTION SUPPORT SITE / BELL PROPERTY

GRAPHIC SITE SECTIONS - AS NOTED

Figure 17



- Tree Preservation
- Establish Meadow
- Limit Of Disturbance
- Reforestation With Seedlings
- Landform To Allow Zone For Optimal Root Growth
- Seed Mix To Stabilize Slope
- Seedlings To Be Planted On Slope



ROUNDOUT - WEST BRANCH TUNNEL - WEST CONNECTION  
 FEBRUARY 2015

PLAN RENDERING - POST CONSTRUCTION  
 1" = 50'  
**Figure 18**



# Rondout-West Branch Bypass Tunnel Construction and Wawarsing Repairs Project

## **West Connection Support Site: Slope Stability Analyses**

January 28, 2015

Prepared by:



dba Jacobs Associates

JA Underground: Professional Corporation  
dba Jacobs Associates  
183 Madison Avenue, Suite 505  
New York, NY 10016

## Distribution

To: Phil Simmons  
New York City Department of Environmental Protection

Ted Dowey  
New York City Department of Environmental Protection

From: Dan Van Roosendaal, PE  
JA Underground: P.C. dba Jacobs Associates

Prepared By: Kush Chohan, PE  
JA Underground: P.C. dba Jacobs Associates

Daniel Ebin  
JA Underground: P.C. dba Jacobs Associates

Reviewed By: Dan Van Roosendaal, PE  
JA Underground: P.C. dba Jacobs Associates

## Revision Log

Revision No.	Date	Revision Description
0	May 2, 2014	Draft for DEP Review
1	January 28, 2015	Draft Revised Per BT-2 Revision

## Table of Contents

1	Introduction .....	5
1.1	West Connection Support Site.....	5
1.2	Purpose.....	5
2	Slope Design Criteria .....	6
2.1	Fill and Backfill Material .....	6
2.1.1	Common Fill.....	6
2.1.2	Select Fill.....	6
2.1.3	Topsoil.....	6
2.2	Drainage Control.....	7
2.3	Method and Application .....	8
2.3.1	Target Factor of Safety .....	9
3	Slope Stability Analyses.....	10
3.1	Material Properties .....	10
3.1.1	Bedrock.....	10
3.1.2	Overburden .....	10
3.1.3	Excavated Rock.....	10
3.1.4	Excavated Soil .....	10
3.2	Selection of Critical Cross Sections .....	11
3.2.1	Section 1 .....	11
3.2.2	Section 2 .....	11
3.2.3	Section 3 .....	11
4	Results and Conclusions.....	13
4.1	Results .....	13
4.2	Conclusions.....	14
5	References.....	15

## List of Tables

Table 1. Summary of Material Properties for Slope Stability Analyses .....	19
Table 2. Summary of Slope Stability Analysis Results .....	19



## List of Figures

Figure 1. West Connection Support Site with Section Lines .....	23
Figure 2. Cross Section and Drainage Details for Fill Slopes .....	25
Figure 3. Section 1 – Construction.....	26
Figure 4. Section 1 – Final .....	26
Figure 5. Section 2 .....	27
Figure 6. Section 3 .....	27

## List of Appendices

- Appendix A. Selection of Material Properties
- Appendix B. Slide Output

# 1 Introduction

## 1.1 West Connection Support Site

The West Connection Support Site, also known as the Bell Property in other documents, is a 30.8 acre site in the Town of Newburgh, NY, as shown in Figure 1. The site is situated directly to the north of the West Connection Site and Shaft 5B of the Rondout-West Branch Bypass Tunnel Project (Bypass Tunnel). Primary construction activities for the Bypass Tunnel will occur on the West Connection Site. However, additional space is needed to support construction activities not suited to the West Connection Site. It is currently proposed that the West Connection Support Site be used for water handling and treatment, additional storage and construction staging needs, and permanent placement of the excavated material from the Bypass Tunnel. The work on the West Connection Support Site will be staged to coincide with work being performed on the Bypass Tunnel and the West Connection site. (See the Shaft 5B Stormwater Pollution Prevention Plan, Addendum for Bell Property [Stantec, 2015] for details.)

## 1.2 Purpose

The purpose of this memorandum and analysis is to evaluate the slope stability of the Excavated Material Area (EMA) and other grading features at the West Connection Support Site for the Bypass Tunnel. The slope stability analysis is performed to verify that the idealized configuration has an adequate factor of safety against failure under the design loading conditions.

## 2 Slope Design Criteria

Slopes on the West Connection Support Site will consist of both cut and fill slopes. All slopes are detailed with a 2H:1V slope faces and benches typically every 20 feet vertically, resulting in an overall slope angle of 22.4° (see Figure 2). The Specifications define three different types of material to be used for fill and backfill on the project: Common Fill, Select Fill, and Topsoil. As described in this section, these three types of material will be used on the West Connection Support Site to develop storage and water treatment areas and the EMA. Before any material is placed, the area must be prepared per the Specifications to remove any unsuitable material.

Relative compaction requirements discussed below refer to the percent of the maximum dry density as determined by ASTM D1557 (latest methods). The optimum moisture content is also determined by the same specification as the maximum dry density.

### 2.1 Fill and Backfill Material

#### 2.1.1 Common Fill

Per the Specifications, Common Fill can consist of soil or rock materials generated from construction activities with a maximum particle size of 6 inches. Individual particles, up to 12 inches, are allowed provided they are not nested and are completely encapsulated by material smaller than 6 inches. The material will be compacted to not less than 90 percent of the maximum dry density in 16-inch lifts. Common Fill will need to meet the gradation requirements as defined in the Specifications.

#### 2.1.2 Select Fill

The outer shell of fill and backfill areas will have a 12-inch layer (vertically) of Select Fill covering the Common Fill. Material excavated from construction activities on site may be reused as Select Fill provided it meets the gradation requirements as defined in the Specifications. The material can be processed through mechanical means to meet these criteria. Other materials that have a tendency to flow under pressure when wet are unacceptable as Select Fill and have been excluded from use on this site by the Specifications. The material will be compacted to not less than 95 percent of the maximum dry density in 16-inch lifts.

#### 2.1.3 Topsoil

This material will provide a final and top layer over the Select fill and will be suitable to regrow vegetation to limit erosion. This layer will be 12 inches (vertically) thick and placed and compacted in place to limit erosion and provide suitable conditions to seed and plant vegetation. An erosion control mat is proposed for all slopes exceeding 3H:1V to minimize surface erosion. Topsoil will be obtained at the site and stockpiled after the site has been cleared of vegetation and debris. If necessary, imported Topsoil may also be used provided that it meets the Topsoil requirements defined in the Specifications.

## 2.2 Drainage Control

The design of stormwater management and the temporary and permanent erosion and sediment control measures contribute to the slope stability. The stormwater management and drainage system and the final cover perform several functions: serve as a rainfall infiltration barrier; support acceptable aesthetics (vegetation); and provide erosion control and slope stability.

Slope stability is based on the interplay between two types of forces: driving forces and resisting forces. Driving forces promote downslope movement of material, whereas resisting forces deter movement. The slope becomes unstable when the driving forces overcome the resisting forces. The presence of water in slopes contributes to instability. Water can erode the base of slopes, removing support, which increases driving forces. Water can also increase driving forces by adding to the total mass that is subjected to the force of gravity. The weight (load) on the slope increases when water fills previously empty pore spaces and fractures. Water infiltration increases the pore pressures behind the slope, thus increasing the driving forces and decreasing the resisting forces. The following measures will be used to control drainage and infiltration into fill and backfill; Figure 2 presents a detail of the additional drainage measures for stability:

1. Grading for drainage will occur as the fill material is compacted into place. Before the final cover is placed on the fill material, the grading shall deliver efficient surface drainage to onsite stormwater drainage facilities by way of graded swales and culverts. Ponding of surface water should not be allowed on fill material. The proposed grading plan shown in Figure 1 and Figure 2 presents a series of swales and collection points to remove surficial water. Swales are located every 20 vertical feet along the slope. The swales are 15 feet wide and allow a flow depth of 1 foot. The swales are designed and will be installed to convey surface water from upper parts of the slope to culverts at the end of the swale that outlets on the east side of the site to a stormwater detention pond. The measures will be able to remove surficial water off of the slope to prevent infiltration.
2. A geomembrane liner will be installed along the entire length of the swales to prevent water infiltration. The liner will be covered with topsoil in the swales to allow installation of erosion control measures (e.g., vegetation), which will prevent the forming of gullies. Stormwater collected by the swales will be prevented from infiltrating the Common Fill. The geomembrane liner will be sloped along with the swale to allow collected water to drain to the discharge points and culverts.
3. Weep drains will be installed to drain any water that may have permeated the slope. The drains will outlet into the swales, allowing captured water to be removed from the fill areas. Weep drains will be installed at the point where the slope meets the swales at a spacing of 50 feet. The 2-inch-diameter weep drains will be installed 20 feet into the slope at a 5% upslope. Fifteen feet of the weep drain will be slotted polyvinyl chloride (PVC) wrapped in geotextile. Geotextile is used to prevent clogging of the slotted PVC by fines. The last 5 feet of the drain will be solid PVC pipe before it exits into the swale.

The stormwater management and drainage system will allow water to be efficiently removed off of the fill areas and be conveyed to stormwater collection points on the site. The additional measures will allow additional water that may not be fully captured to be removed from the fill areas. The drainage measures as designed will increase the slope stability.

## 2.3 Method and Application

The stability factor of safety of the typical cross section was analyzed using limit equilibrium theory along with the methods of slices. The procedure consisted of analyzing numerous surfaces to find the critical surface that resulted in the minimum factor of safety for the slope.

Static and pseudostatic slope stability analyses were performed using Spencer's method (Spencer, 1973), as implemented in the computer program SLIDE, version 6.026 (Rocscience, 2014). Spencer's method, which satisfies both vertical and horizontal force equilibrium and moment equilibrium, is considered more rigorous than other methods, such as the simplified Janbu method (Janbu, 1973) and the simplified Bishop method (Bishop, 1955).

In general, selection of a slope stability method depends on the accuracy of the analytical derivation of the method as well as the numerical implementation in a slope stability program. SLIDE offers nine separate methods to analyze slope stability. The Ordinary or Fellenius (Fellenius, 1936) and simplified Bishop methods satisfy only force equilibrium in one direction and moment equilibrium. The simplified Janbu, Corps of Engineers (#1 and #2) (USACE, 2003), and Lowe-Karafiath methods (Lowe and Karafith, 1960) satisfy only force equilibrium in two directions. Janbu's corrected method as implemented in SLIDE uses a modification factor to correct the factor of safety to indirectly account for moment equilibrium. Spencer's General Limit Equilibrium (GLE) method, and the Morgenstern-Price method (Morgenstern and Price, 1965) satisfy force equilibrium in two directions and moment equilibrium. The implementation of the GLE method in SLIDE is essentially the same as the Morgenstern-Price method. Based on the number of equilibrium equations satisfied, the Spencer and GLE/Morgenstern-Price methods are the most rigorous methods available. GLE/Morgenstern-Price methods are generally not available in many slope stability programs because of the complexity of numerical implementation; therefore, the applications of these methods in general practice are significantly fewer than those of Spencer's method. For this reason, the Spencer's method is the preferred method in standard practice for analyzing general circular slip surfaces. Therefore, Spencer's method was chosen as the standard method for performing slope stability analyses for potential circular critical surfaces.

Rotational type failure mode (i.e., circular slip surfaces) was considered to assess the slope stability factor of safety (FS) at the selected cross sections. The SLIDE program generated several potential circular slip surfaces, calculated the FS for each of these surfaces, and identified the most critical slip surface (i.e., the slip surface with the lowest FS). Wedge type (noncircular) slip surfaces were not considered applicable for slip surfaces for the excavated material and soil embankment as they generally only apply when known weak layers or interfaces are present. Regardless, an analysis was performed assuming wedge-type slip surfaces. The results indicated that the factors of safety calculated using the wedge-type slip surfaces were greater than those calculated using the circular slip surfaces.

Information used for the analyses includes:

- Geometry of the slope
- Subsurface soil stratigraphy (JA, 2014a)
- Water table, assumed to be at the surface and as controlled by the weep drains
- Properties of subsurface materials (JA, 2014a)
- External loading from material storage and seismic loads (JA, 2014b)

### **2.3.1 Target Factor of Safety**

An FS of 1.5 is required for the long-term, static condition. This is consistent with FS values used in general engineering practice for the long-term condition (USACE, 2003). An FS of 1.1 is required for the seismic condition. This is consistent with FS values used in general engineering practice for the long-term condition (NYSDOT, 2014).

## 3 Slope Stability Analyses

### 3.1 Material Properties

Detailed information related to the selection of subsurface material properties is presented in Appendix A. Table 1 summarizes the properties (i.e., unit weights, undrained and drained shear strengths) of each material used in the stability analyses. Two cases were run for each analyzed cross section for each type of analysis: static and pseudostatic. One case assumed that there was a 300 psf surcharge applied to the top of the slope to represent storage of construction materials. The other case had no surcharge load applied. All cases assumed that the groundwater was at the surface or as controlled by the drainage in the slope face.

#### 3.1.1 Bedrock

Bedrock at the West Connection Support Site is assumed to be the Normanskill Formation (JA, 2014a). Material properties for this material were obtained from RocLab (Rocscience, 2011) results from Technical Memorandum No. 3: Initial Support Addendum A (JA, 2014c). Appendix A presents the material properties selected for this analysis.

#### 3.1.2 Overburden

The overburden ranges from 8.3 feet to 34.8 feet, with an average of 20.9 feet, on the western portion of the site; and 0.8 feet to 16.1 feet, with an average of 6.5 feet, on the eastern portion (from boring logs [JA, 2014a]). Laboratory testing for soil classification was conducted. Based on the laboratory testing and boring logs, the overburden material onsite is assumed to be very dense/stiff gravel, sand, silt, and clay. This material is similar to the material encountered at the West Connection Site. An undisturbed sample for strength testing was not obtained through the subsurface investigation because of the very dense nature of the subsurface. The material properties for this material were selected based on correlations with similar material as presented by Duncan et al. (1989), as shown in Appendix A.

#### 3.1.3 Excavated Rock

Rock excavated from the Bypass Tunnel will consist of shale and limestone. The specifications call for the same level of processing and compaction of the two materials in the fill area, though there will likely be a variation in particle shape. The stability analyses assumed that the entire fill area in the West Connection Support Site was comprised of shale, which has lower strength properties than the limestone. The material properties for this compacted, freely draining, non-cohesive crushed rock were selected from the *Mining Reference Handbook* (SME, 2011).

#### 3.1.4 Excavated Soil

The material for the soil embankment was assumed to be consistent with the overburden material encountered at the West Connection Support Site. The overburden material will be excavated, reworked, conditioned, and compacted into the proposed embankment. Appendix A presents the material properties selected for this analysis. A 300 psf surcharge was applied to the top of the slope since the embankment will be used for storage of tunnel support materials (e.g., steel pipe, concrete tunnel segments, etc.).

It should be noted that in these analyses the excess pore water pressures in the materials were assumed to have dissipated as a result of the high permeability of the overburden soil, and the excavated material and drained shear strengths control the design.

## **3.2 Selection of Critical Cross Sections**

As shown on the proposed grading plan in Figure 1, three cross sections were selected for the stability analysis. The descriptions of the cross sections are provided below.

### **3.2.1 Section 1**

Section 1 is located along the southern slope of the EMA and includes a section of the soil embankment that may be used to store precast concrete tunnel segments. Two different conditions were analyzed at the location of Section 1. The first of these contains the soil embankment, stormwater detention ponds, and the existing ground. This condition exists during the construction of the Bypass Tunnel. This section is identified as "Section 1 – Construction" and presented in Figure 3. The height of the soil embankment from the toe to the crest is 27 feet and set at a 2H:1V slope. The embankment slope has a 15-foot-wide bench every 20 feet of vertical rise. This section was analyzed because of the height of the soil embankment, the thickness of underlying overburden, and the length of exposed slope and existing ground that is sloping away from the embankment.

The second condition is taken after the excavated rock has been placed against the soil embankment slope and stormwater detention ponds. The section is identified as "Section 1 – Final" and presented in Figure 4. This section was chosen because the height of the soil embankment is greatest in combination with the EMA. The EMA slope from the toe to the crest is 38 feet high and is set at a 2H:1V slope. The slope has a 15-foot-wide bench every 20 feet of vertical rise.

### **3.2.2 Section 2**

Section 2 is located on the southeast slope of the proposed EMA. The height of the slope from the toe to the crest is 85 feet and set at a 2H:1V slope. The slope has a 15-foot-wide bench every 20 feet of vertical rise. Figure 5 presents the section modeled in SLIDE. This cross section was chosen because the EMA slope is at its greatest height and the slope of the existing ground sloping is away from the EMA.

### **3.2.3 Section 3**

Section 3 is located on the northeast slope of the proposed EMA. The height of the slope from the toe to the crest is 72 feet and set at a 2H:1V slope. The slope has a 15-foot-wide bench every 20 feet of vertical



rise. Figure 6 presents the section modeled in SLIDE. This cross section was chosen because of the combination of the EMA slope height, the slope of the existing ground, and the depth of overburden material underlying the EMA in this area.

## 4 Results and Conclusions

### 4.1 Results

Slope stability analyses were performed for the cross sections shown in Figure 1. The results of the analyses are reported as the factor of safety against failure along the likely critical surface. Table 2 provides a summary of the factors of safety that were obtained from the analyses. Slide output files for Sections 1 through 3 are available in Appendix B.

The following can be concluded from the analyses:

- Based on the analysis of Section 1 – Construction, the computed static factor of safety (FS) is 2.24, which is greater than the minimum value of 1.5 recommended by the U.S. Army Corps of Engineers (USACE, 2003). The addition of a surcharge load at the top of the slope had no effect on the stability of the slope. The likely critical surface was observed to occur through the excavated soil and the overburden foundation material. The computed seismic FS is 1.88, which is greater than the recommended minimum value of 1.1.
- Based on the analysis of Section 1 – Final, the computed static FS is 2.02, which is greater than the minimum value of 1.5 recommended by the USACE. The addition of a surcharge load at the top of the slope had no effect on the stability of the slope. The likely critical surface was observed to occur through the excavated rock and the overburden foundation material. The computed seismic FS is 1.69, which is greater than the recommended minimum value of 1.1.
- Based on the analysis of Section 2, the computed static FS is 1.64, which is greater than the minimum value of 1.5 recommended by the USACE. The likely critical surface was observed to occur through the excavated rock fill and not through the foundational material. The program did find a very shallow potential critical surface (less than a 6-inch depth of critical surface), which was at an FS of 1.63. This critical surface was filtered out because of the very shallow failure the surface. The addition of a surcharge load at the top of the slope had no effect on the stability of the slope. The presence of a thick overburden material below the EMA had little effect on the stability of the slope. The computed seismic FS is 1.45, which is greater than the recommended minimum value of 1.1.
- Based on the analysis of Section 3, the computed static FS is 1.51, which is greater than the minimum value of 1.5 recommended by the USACE. The likely critical surface was observed to occur through the excavated rock fill and not through the foundational material. The program did find a very shallow potential critical surface (less than a 6-inch depth of critical surface), which was at an FS of 1.42. This critical surface was filtered out because of the very shallow critical surface. The addition of a surcharge load at the top of the slope had no effect on the stability of the slope. The presence of a thick overburden material below the EMA had little effect on the

stability of the slope. The computed seismic FS is 1.26, which is greater than the recommended minimum value of 1.1.

## 4.2 Conclusions

The three sections were analyzed to determine the factor of safety for the assumed loading conditions. The analyses showed that with the assumed loadings, groundwater at the surface or as controlled by the slope drainage, and either static or pseudostatic conditions, the slopes have the recommended factor of safety per USACE (2013) and NYSDOT (2014). The analyses did show certain sections where the factor of safety was below the recommended level. However, these failures were generally 6 inches or less in depth and only in the EMA. Additionally, the Select Fill and Topsoil were not considered in these analyses. Therefore, these results were filtered out.

## 5 References

ASTM International. ASTM D1557. Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft<sup>3</sup> (2,700 kN-m/m<sup>3</sup>))

Bishop, A. 1955. The use of the slip circle in the stability analysis of slopes, *Geotechnique*, 5(1), 7–17.

Duncan, J.M., R.C. Horz, and T.L. Yang. 1989. *Shear Strength Correlations for Geotechnical Engineering*. Department of Civil Engineering, Virginia Tech University.

Fellenius, W. 1936. Calculations of the stability of earth dams. Transaction of the 2nd Congress on Large Dams, 4, Washington, D.C.

JA Underground: P.C. (JA). 2014a. Rondout-West Branch Tunnel Project Geotechnical Data Report. Prepared for the New York City Department of Environmental Protection.

JA Underground: P.C. (JA). 2014b. Rondout-West Branch Tunnel Project Technical Memorandum 1: Final Lining Addendum B. Prepared for the New York City Department of Environmental Protection.

JA Underground: P.C. (JA). 2014c. Rondout-West Branch Tunnel Project Technical Memorandum 3: Initial Support Addendum A. Prepared for the New York City Department of Environmental Protection.

Janbu, N. 1973. *Slope Stability Computations, Embankment Dam Engineering*, Casagrande Memorial Volume, R.C. Hirshfield, and S.J. Poulos, Eds. New York, NY: John Wiley, 1973, 47–86.

Lowe, J., and L. Karafiath. 1960. Stability of Earth Dams upon Drawdown. Proceedings of the First PanAmerican Conference on Soil Mechanics and Foundation Engineering. Mexican Society of Soil Mechanics, Mexico D.F., pp 537-552.

Morgenstern, N.R. and V.E. Price. 1965. The analysis of the stability of generalised slip surfaces. *Géotechnique*, 15: 79-93.

New York State Department of Transportation (NYSDOT). 2014. Geotechnical Design Manual – Chapter 9. Revised 01/21/14.

Rocscience, Inc. 2014. Slide Version 6.026 software.

Rocscience, Inc. 2011. RocLab Version 1.032 software.

Society for Mining, Metallurgy, and Exploration, Inc. (SME). 2011. *Mining Engineering Handbook*, 3rd Edition. Angle of Repose, Blasted Rock.

Spencer, E. 1973. The thrust line criterion in embankment stability analysis. *Geotechnique*, 23(1), 85–100.

Stantec. 2015. Shaft 5B Stormwater Pollution Prevention Plan: Addendum for West Connection Support Site (Bell Property). Prepared for the New York City Department of Environmental Protection.

U.S. Army Corps of Engineers (USACE). 2003. *Engineering and Design—Slope Stability*, Engineering Manual EM 110-2-1902.

## Tables

THIS PAGE IS INTENTIONALLY LEFT BLANK

**Table 1. Summary of Material Properties for Slope Stability Analyses**

Material	$\gamma_d$	$\gamma_{sat}$	Drained Shear Strength		Reference
	pcf	pcf	$\phi'$ (deg)	c' (psf)	
Excavated Soil	130	145	35	200	Duncan et al., 1989
Excavated Rock	135	135	40	0	SME Mining Reference Handbook
Overburden	130	145	35	200	Duncan et al., 1989
Bedrock	175	175	44	41,904	JA RocLab Results

**Table 2. Summary of Slope Stability Analysis Results**

Section	Results			
	Static		Seismic	
	No Surcharge	Surcharge	No Surcharge	Surcharge
Section 1 - Final	2.02	2.01	1.69	1.69
Section 1 - Construction	2.24	2.24	1.88	1.88
Section 2	1.64	1.64	1.44	1.45
Section 3	1.51	-	1.26	-



THIS PAGE IS INTENTIONALLY LEFT BLANK

## Figures

THIS PAGE IS INTENTIONALLY LEFT BLANK

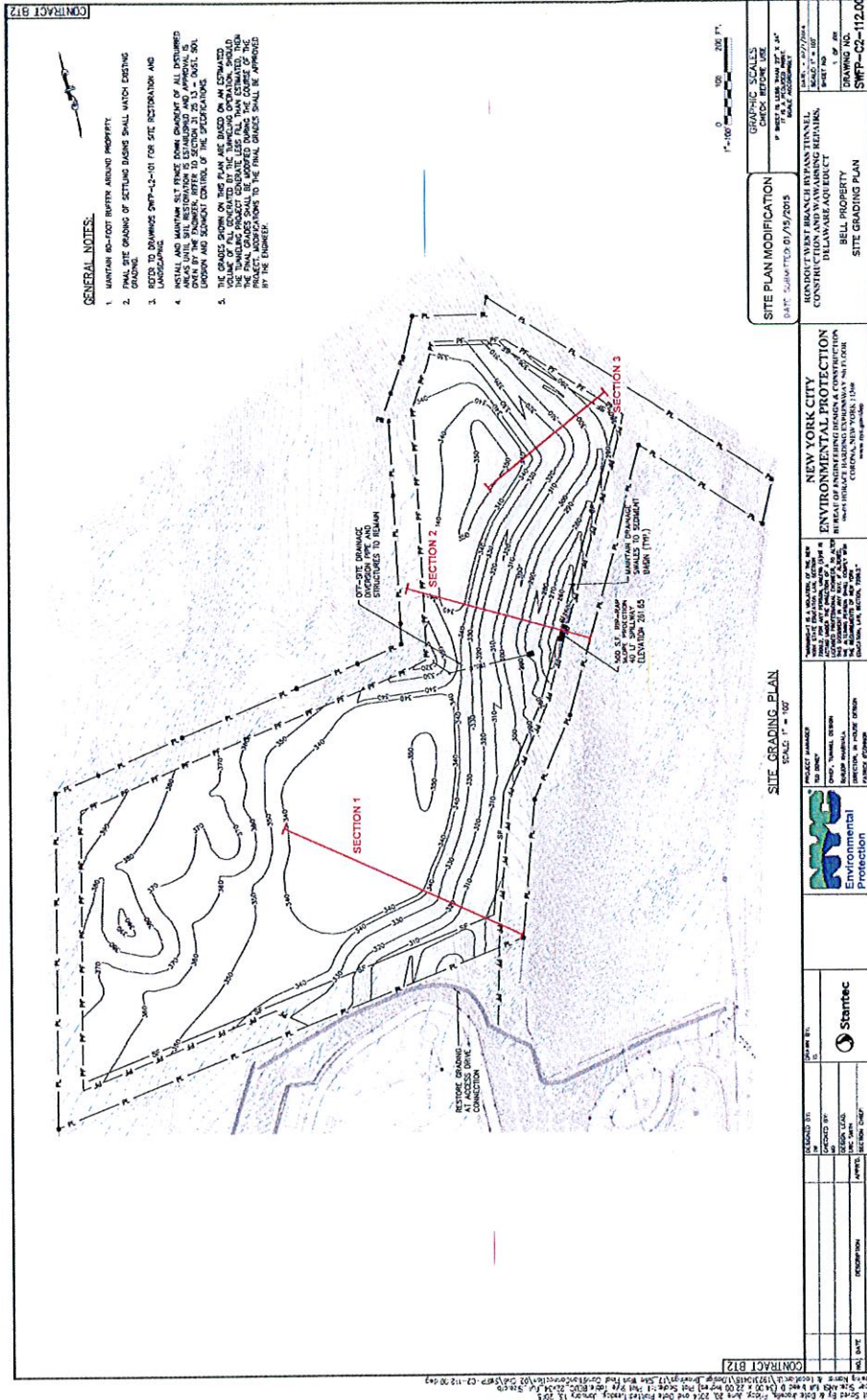


Figure 1. West Connection Support Site with Section Lines

THIS PAGE IS INTENTIONALLY LEFT BLANK

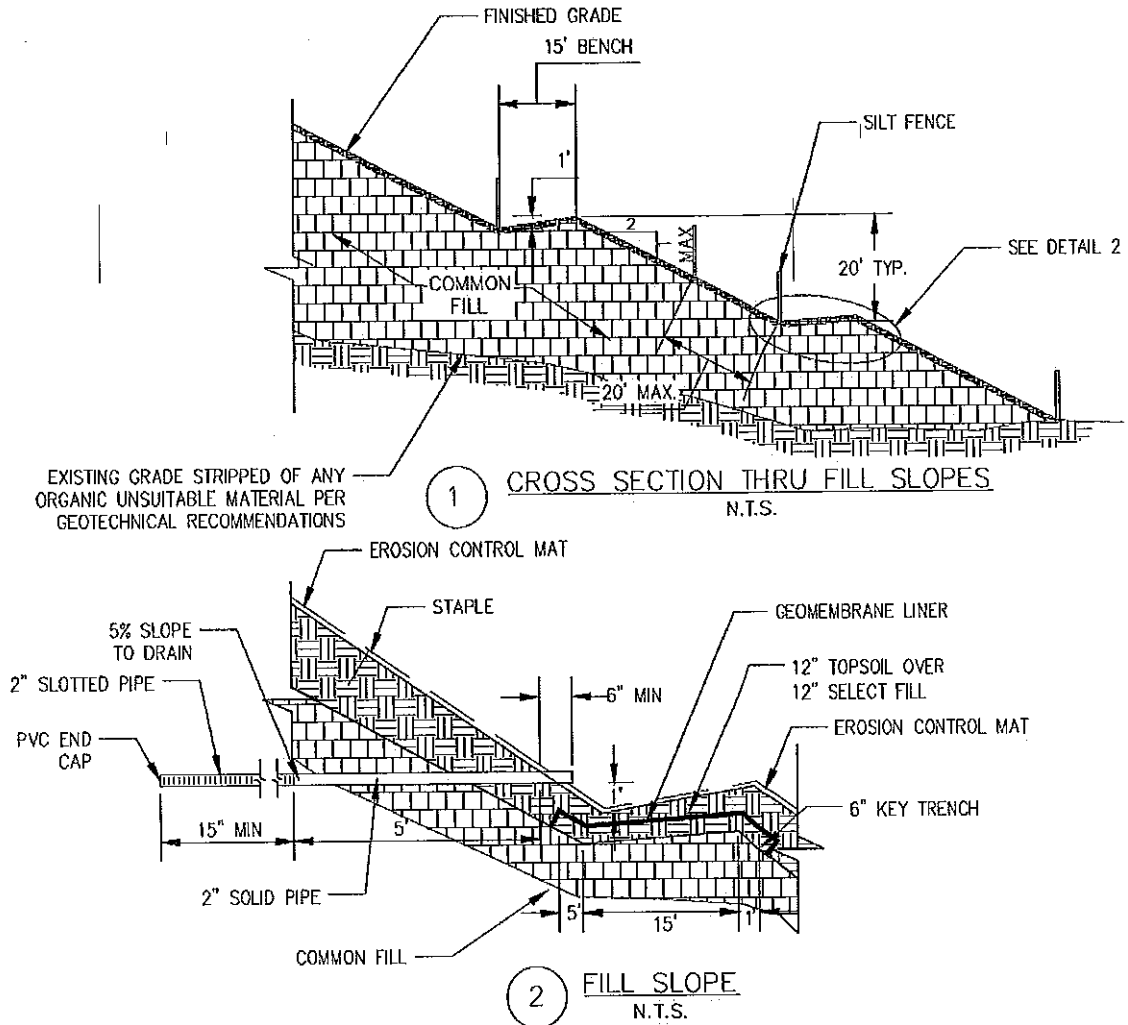


Figure 2. Cross Section and Drainage Details for Fill Slopes

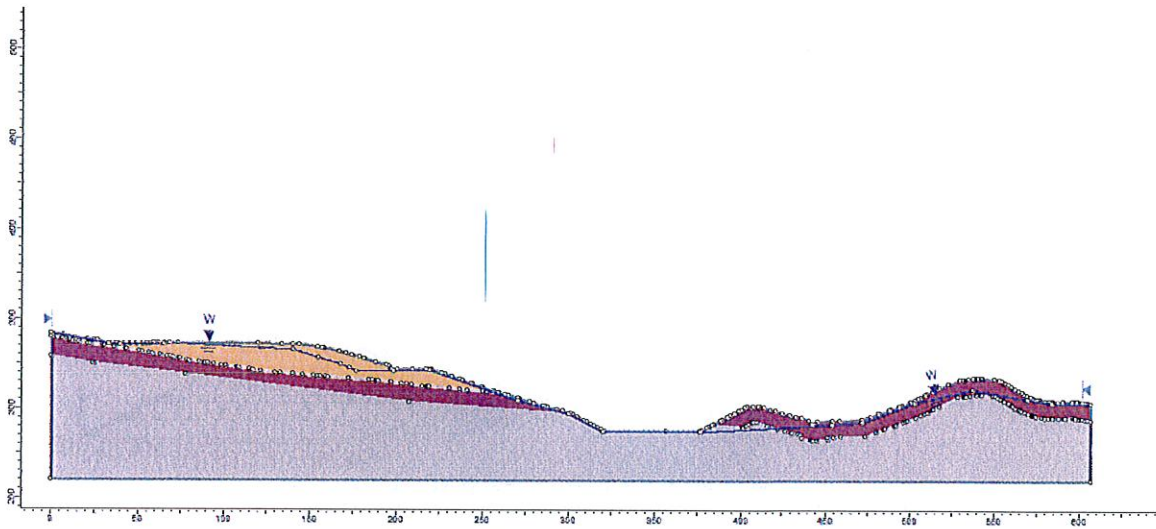


Figure 3. Section 1 – Construction

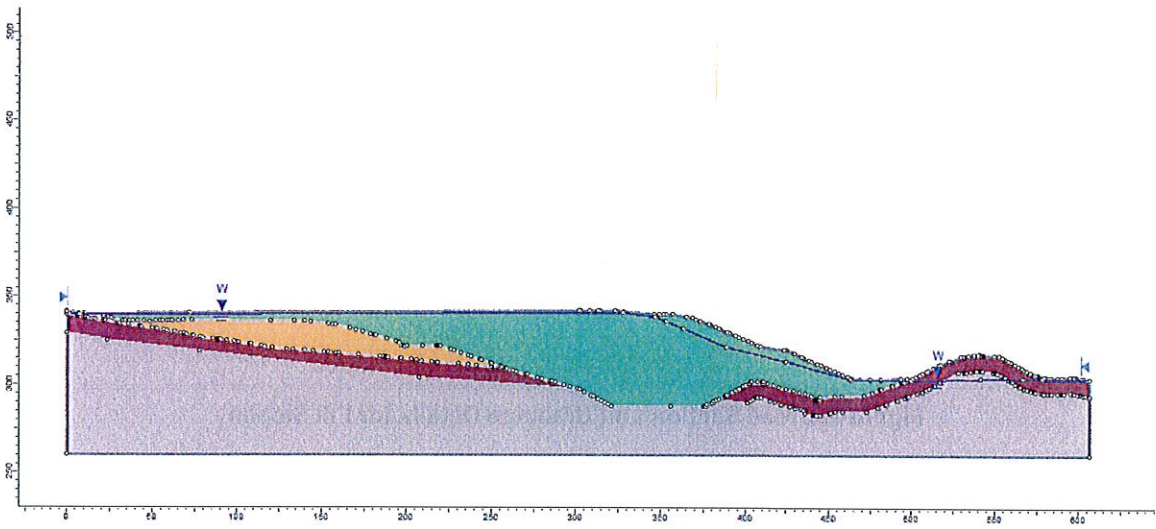


Figure 4. Section 1 – Final

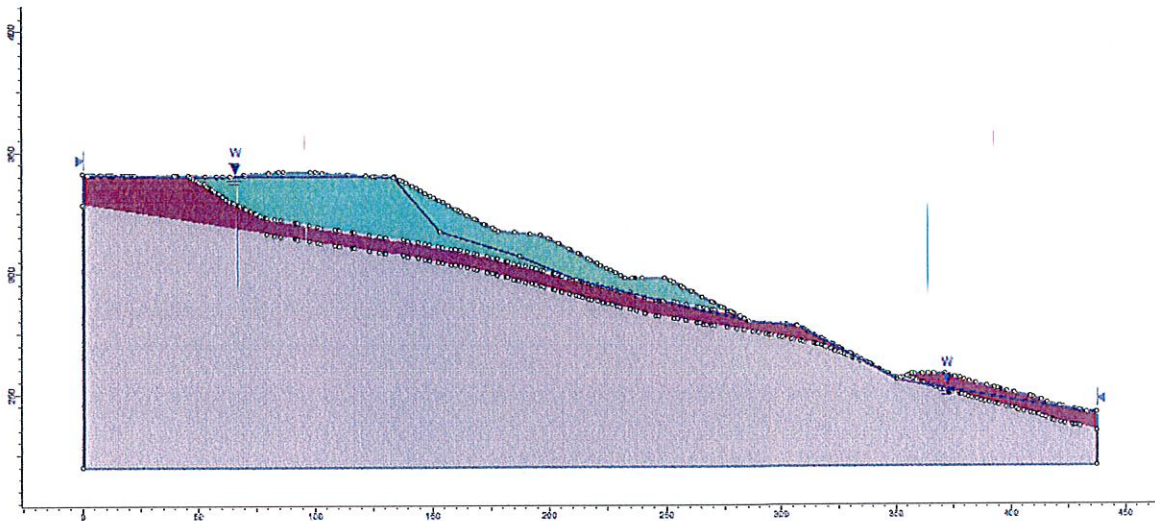


Figure 5. Section 2

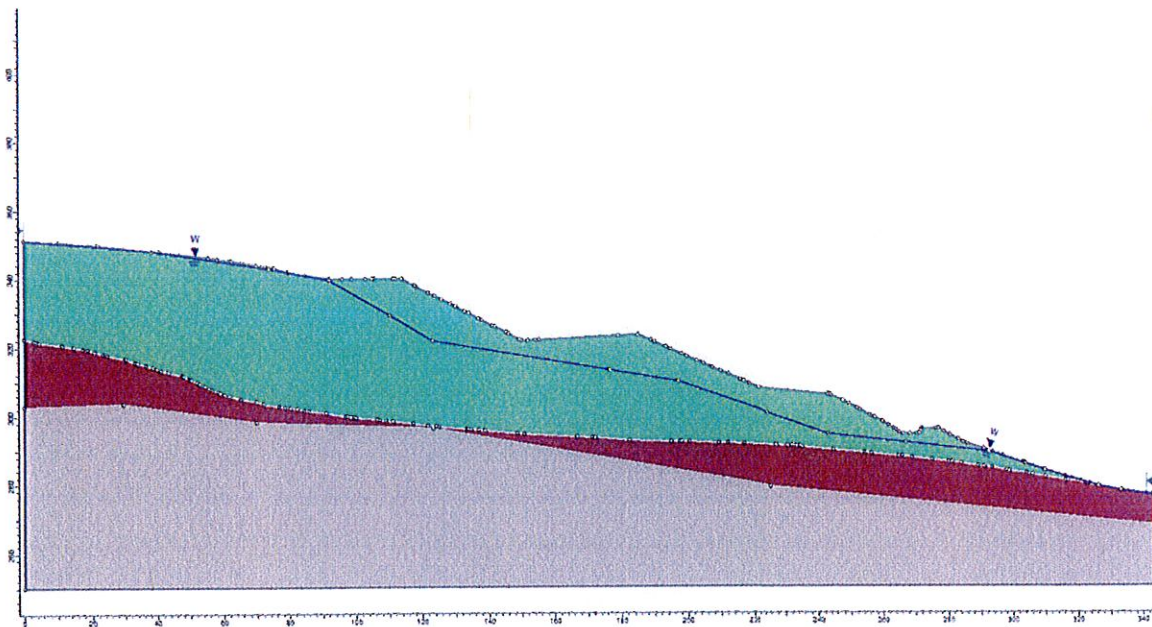


Figure 6. Section 3



THIS PAGE IS INTENTIONALLY LEFT BLANK

## Appendix A – Selection of Material Properties

### 3.4 Various Unit-Weight Relationships

In Sections 3.2 and 3.3, we derived the fundamental relationships for the moist unit weight, dry unit weight, and saturated unit weight of soil. Several other forms of relationships that can be obtained for  $\gamma$ ,  $\gamma_d$ , and  $\gamma_{sat}$  are given in Table 3.1. Some typical values of void ratio, moisture content in a saturated condition, and dry unit weight for soils in a natural state are given in Table 3.2.

Table 3.1 Various Forms of Relationships for  $\gamma$ ,  $\gamma_d$ , and  $\gamma_{sat}$

Moist unit weight ( $\gamma$ )		Dry unit weight ( $\gamma_d$ )		Saturated unit weight ( $\gamma_{sat}$ )	
Given	Relationship	Given	Relationship	Given	Relationship
$w, G_s, e$	$\frac{(1+w)G_s\gamma_w}{1+e}$	$\gamma, w$	$\frac{\gamma}{1+w}$	$G_s, e$	$\frac{(G_s+e)\gamma_w}{1+e}$
$S, G_s, e$	$\frac{(G_s+Se)\gamma_w}{1+e}$	$G_s, e$	$\frac{G_s\gamma_w}{1+e}$	$G_s, n$	$[(1-n)G_s+n]\gamma_w$
$w, G_s, S$	$\frac{(1+w)G_s\gamma_w}{1+\frac{wG_s}{S}}$	$G_s, n$	$G_s\gamma_w(1-n)$	$G_s, w_{sat}$	$\left(\frac{1+w_{sat}}{1+w_{sat}G_s}\right)G_s\gamma_w$
$w, G_s, n$	$G_s\gamma_w(1-n)(1+w)$	$G_s, w, S$	$\frac{G_s\gamma_w}{1+\left(\frac{wG_s}{S}\right)}$	$e, w_{sat}$	$\left(\frac{e}{w_{sat}}\right)\left(\frac{1+w_{sat}}{1+e}\right)\gamma_w$
$S, G_s, n$	$G_s\gamma_w(1-n) + nS\gamma_w$	$e, w, S$	$\frac{eS\gamma_w}{(1+e)w}$	$n, w_{sat}$	$n\left(\frac{1+w_{sat}}{w_{sat}}\right)\gamma_w$
(3.24)		$\gamma_{sat}, e$	$\gamma_{sat} - \frac{e\gamma_w}{1+e}$	$\gamma_d, e$	$\gamma_d + \left(\frac{e}{1+e}\right)\gamma_w$
(3.25)		$\gamma_{sat}, n$	$\gamma_{sat} - n\gamma_w$	$\gamma_d, n$	$\gamma_d + n\gamma_w$
(3.26)		$\gamma_{sat}, G_s$	$\frac{(\gamma_{sat} - \gamma_w)G_s}{(G_s - 1)}$	$\gamma_d, S$	$\left(1 - \frac{1}{G_s}\right)\gamma_d + \gamma_w$
				$\gamma_d, w_{sat}$	$\gamma_d(1+w_{sat})$

Table 3.2 Void Ratio, Moisture Content, and Dry Unit Weight for Some Typical Soils in a Natural State

Type of soil	Void ratio, $e$	Natural moisture content in a saturated state (%)	Dry unit weight, $\gamma_d$	
			lb/ft <sup>3</sup>	kN/m <sup>3</sup>
Loose uniform sand	0.8	30	92	14.5
Dense uniform sand	0.45	16	115	18
Loose angular-grained silty sand	0.65	25	102	16
Dense angular-grained silty sand	0.4	15	121	19
Stiff clay	0.6	21	108	17
Soft clay	0.9-1.4	30-50	73-93	11.5-14.5
Loess	0.9	25	86	13.5
Soft organic clay	2.5-3.2	90-120	38-51	6-8
Glacial till	0.3	10	134	21

Table 1. Strength Parameters for Soils of Various Types and Degrees of Compaction

Unified Classification	RC Stand. AHTO	$\gamma_m$ Kips/ft <sup>3</sup>	$\phi_0$ deg	$\Delta\phi$ deg	C Kips/ft <sup>2</sup>
GW, GP SW, SP	105	0.150	42	9	0
	100	0.145	39	7	0
	95	0.140	36	5	0
	90	0.135	33	3	0
SM	100	0.135	36	8	0
	95	0.130	34	6	0
	90	0.125	32	4	0
	85	0.120	30	2	0
SM-SC	100	0.135	33	0	0.5
	95	0.130	33	0	0.4
	90	0.125	33	0	0.3
	85	0.120	33	0	0.2
CL	100	0.135	30	0	0.4
	95	0.130	30	0	0.3
	90	0.125	30	0	0.2
	85	0.120	30	0	0.1

Values in this table are conservative in the sense that they are typical of the lower values of strength and the higher values of unit weight for each type of soil (after Duncan, et al., 1980).

TABLE 2. PART B. CLASSIFICATION AND STRENGTH PARAMETERS FOR SOILS TESTED UNDER DRAINED CONDITIONS

Soil	Group	Soil Description	References	Grain Size, mm				LL	FP	Type	Compaction			Init. Void Ratio		
				D60	D30	D10	w/c				Max Dry Unit Wt. (pcf)	Opt. w/c	Dry U. Wt. (pcf)		w/c	
GW	GW-1	Compacted Rockfill (Noboru Dam)	Marui et al. (38)													
GW	GW-2	Granitic Gneiss Rockfill (Mica Dam)	Casagrande (10)/Marui (38)	47	7.5	0.9						118.9	0.39	0.32		
GW	GW-3	Quartzite Rockfill (Fumas Dam Shell)	Casagrande (10)	78	24	4						120.7				
GW	GW-4	Quartzite Rockfill (Fumas Dam Transv.)	Casagrande (10)	25												
GW	GW-5	Fumas Dam Transition	Casagrande (10)	10												
GW	GW-6	Pinzandapan Gravel	Marui et al. (38)	21												
GW	GW-7	Diorite Rockfill (El Infiernillo Dam)	Marui et al. (38)		2.7	0.23						132.1		0.34		
GP	GP-2	Sandy Gravel (Mica Dam Shell)	Marui et al. (38)	50	42	17						105.7		0.56		
GP	GP-3	Basalt Rockfill	Casagrande (10)/Marui (39)	22	1.2	0.23										
GP	GP-6	Silty Sandy Gravel (Coville Dam)	Hall & Gordon (25)	18	4.8	0.4						133.8		0.3		
GP	GP-7	Amphibolite Gravel (Coville Dam Shell)	Marachi (37)	15	13.2	0.36						148.0		0.21		
GP	GP-11	Crushed Basaltic Rock (Round Butte Dam)	Shannon & Wilson (41)	15	12	6						132.0		0.2		
GP	GP-13	Sandy Gravel (Rowell Dam)	Boughton (9)	10	3	0.6						99.0		0.2		
GC	GC-1	Clayey Gravel (New Hogan Dam Core)	Blot (3)	12	3.6	0.6						135.0		0.233		
SW	SW-1	Argillite Rockfill (Pyramid Dam Shell)	Marachi (37)	4.1	1.8	0.8						111.0	10.8	10.8	0.48	
SW	SW-2	Crushed Olivine Basalt	Marachi (37)	4.1	1.8	0.8						125.4		0.43		
SW	SW-3	Silty Sand, Some Gravel (Round Butte Dam)	Shannon & Wilson (41)	1.7	0.09	0.009						108.7		13.5		
SW	SW-5	Variscite Sandstone (0.5 in. max. size)	Becker, Chan, & Seed (2)	0.17	0.07	0.025						117.5		0.47		
SP	SP-3	Glacial Curb Sand	Hirschfeld & Poulos (26)	0.03	0.4	0.14						112.3		0.5		
SP	SP-4A	Sacramento River Sand	Lee (34)	0.22	0.17	0.15						68.5		0.87		
SP	SP-4B	Sacramento River Sand	Lee (34)	0.22	0.17	0.15						84.0		0.78		
SP	SP-4C	Sacramento River Sand	Lee (34)	0.22	0.17	0.15						97.8		0.71		
SP	SP-4D	Sacramento River Sand	Lee (34)	0.22	0.17	0.15						103.9		0.61		
SP	SP-5A	Ham River Sand	Bishop (4)	0.25	0.17	0.15								0.82		
SP	SP-5B	Ham River Sand	Bishop (4)	0.25	0.17	0.15								0.84		
SP	SP-7A	Poohy Graded Sand (Port Allen Lock)	Sherman & Trahan (44)	0.2	0.17	0.12						100.0	13.0	95.5	0.73	
SP	SP-7B	Poohy Graded Sand (Port Allen Lock)	Sherman & Trahan (44)	0.2	0.17	0.12						100.0	13.0	100.0	0.85	
SP	SP-7C	Poohy Graded Sand (Port Allen Lock)	Sherman & Trahan (44)	0.2	0.17	0.12						100.0	13.0	105.1	0.87	
SP	SP-12	Coarse to Fine Sand (Round Butte Dam)	Shannon & Wilson (41)									74.8		1.22		
SP	SP-13	Pumiceous Sand (Round Butte Dam)	Shannon & Wilson (41)	0.85	0.41	0.24						87.4	18.0			
SP	SP-14	Pumiceous Sand (Round Butte Dam)	Shannon & Wilson (43)	1.0	0.2	0.165						76.8	25.0			
SP	SP-18A	Fine Silica Sand (Loose)	Duncan & Cheng (22)	0.27	0.2	0.165								0.85		
SP	SP-18B	Fine Silica Sand (Loose)	Lede (33)	0.27	0.2	0.165								0.54		
SP	SP-17A	Monterey No. 0 Sand (Cylind. specimen)	Lede (33)	0.43	0.37	0.29								0.78		
SP	SP-17B	Monterey No. 0 Sand (Cubical specimen)	Lede (33)	0.43	0.37	0.29								0.78		
SP	SP-17C	Monterey No. 0 Sand (Cylind. specimen)	Lede (33)	0.43	0.37	0.29								0.57		
SP	SP-17D	Monterey No. 0 Sand (Cylind. specimen)	Lede (33)	0.43	0.37	0.29								0.57		
SP	SP-18	Basaltic Sand (Round Butte Dam)	Shannon & Wilson (42)	3	0.13									0.57		
SM	SM-4	Silty Sand (Chatfield Dam)	COE Omaha District (19)									120.1	9.5	120.0	9.5	
SM	SM-5	Silty Gravelly Sand (Chatfield Dam)	COE Omaha District (19)	0.62	0.16	0.036								8.5	116.7	9.4
SM	SM-6	Silty Sand w/Pebbles (Round Butte Dam)	Shannon & Wilson (41)	1.15	0.28	0.06								8.1	124.5	7.53
SM	SM-9	Silty Sand w/Pumice (Round Butte Dam)	Shannon & Wilson (41)	0.31	0.1	0.04								108.1	17.5	
SM	SM-13	Silty Sand (Round Butte Dam)	Shannon & Wilson (41)	0.15	0.054	0.013								88.4	19.0	
SM	SM-18	Silty Sand & Gravel (Round Butte Dam)	Shannon & Wilson (42)	0.27	0.027	0.0022								104.5	15	
SM-SC	SM-SC-1A	Silty Clayey Sand (Mica Dam Core)	Shannon & Wilson (42)	0.45	0.052	0.012								109	12	
SM-SC	SM-SC-1B	Silty Clayey Sand (Mica Dam Core)	Casagrande (10)/Inley & Hillis (27)	0.34	0.03	0.002								131.1	7.7	
SM-SC	SM-SC-1C	Silty Clayey Sand (Mica Dam Core)	Casagrande (10)/Inley & Hillis (27)	0.34	0.03	0.002								128.2	9.7	
ML	ML-1	Cannonville Silt (Undisturbed)	Hirschfeld & Poulos (26)	0.033	0.018	0.005								8.8	11.9	
ML	ML-4	Sandy Silt w/Pumice (Round Butte Dam)	Shannon & Wilson (41)	0.078	0.032	0.0064								108.0	17.0	0.57
ML	ML-5	Sandy Silt w/Pumice (Round Butte Dam)	Shannon & Wilson (41)	0.1	0.025	0.0032								99.2	17.0	
CL	CL-29C	Silty Clay (Canyon Dam)	Casagrande & Hirschfeld (8)	0.037	0.008									16.5	16.5	
CL	CL-30D	Silty Clay (Canyon Dam)	Casagrande & Hirschfeld (8)	0.037	0.008									15.2	15.2	
CL	CL-30E	Silty Clay (Canyon Dam)	Casagrande & Hirschfeld (8)	0.037	0.008									16.7	16.7	
CL	CL-30F	Silty Clay (Canyon Dam)	Casagrande & Hirschfeld (8)	0.037	0.008									115.1	15.2	
CL	CL-34E	Silty Clay (Canyon Dam)	Casagrande & Hirschfeld (7)	0.037	0.008									110.0	17.4	
CL	CL-34E	Silty Clay (Canyon Dam)	Casagrande & Hirschfeld (7)	0.037	0.008									106.3	19.0	

Table 4. Relationship Among Relative Density, Penetration Resistance, Dry Unit Weight and Angle of Internal Friction of Cohesionless Soils

Descriptive Relative Density	Relative Density **	Standard Penetration Resistance N *	Static Cone Resistance $q_c$	Angle of Internal Friction $\phi$	Dry Unit Weight
	%	blows/ft	tsf or kgf/cm <sup>2</sup>	degrees	KN/m <sup>3</sup>
Very Loose	< 15	< 4	< 50	< 30	< 14
Loose	15 - 35	4 - 10	50 - 100	30 - 32	14 - 16
Medium Dense	35 - 65	10 - 30	100 - 150	32 - 35	16 - 18
Dense	65 - 85	30 - 50	150 - 200	35 - 38	18 - 20
Very Dense	85 - 100	> 50	> 200	> 38	> 20

\* At an effective vertical overburden pressure of 100 kPa

\*\* Freshly deposited, normally consolidated sand

After Mitchell and Katti (1981).

Table 1. Design Rock Mass Parameters from RocLab

Rock Mass Type	Reaches	Description of Rock Mass Type	Terzaghi Class	RocLab Input				RocLab Output								
				Unconfined Compressive Strength, UCS (test)	Modulus (Intact), $E_i$ (test)	Material Constant (Intact), $m_i$	Geologic Strength Index, GSI	Disturbance Factor, D	Unit Weight, $\gamma$ (pcf)	Poisson's Ratio, $\nu_m$	Cohesion, c (psi)	Friction Angle, $\phi$ ( $^\circ$ )	Tensile Strength, $\sigma_t$ (psi)	Uniaxial Compressive Strength, $\sigma_c$ (psi)	Global Strength, $\sigma_m$ (psi)	Deformation Modulus, $E_{tm}$ (test)
N1	R1, R3	Slate-shale; slightly weathered; blocky/foliated	T2	9.8	11,300	7	65	0	175	0.28	291	44	-100	1,391	2,096	7,140
N2	R2	Slate-shale; fault zone; blocky/disturbed/seamy & disintegrated	T3, T6	9.8	11,300	7	35	0	170	0.3	119	36	-10	236	1,018	1,280
W1	R4a, R7	Dolomite/limestone; blocky to massive; slightly weathered to unweathered	T2	30.9	13,000	9	75	0	175	0.25	1,177	54	-521	7,686	9,700	10,600
W5	R4b	Dolomite/limestone; blocky; slightly weathered; wide to very wide joint spacing	T2, T3	13.3	10,000	9	60	0	175	0.25	270	49	-72	1,423	2,774	5,200
W3	R5	"Faulted and weathered zone" - dolomite/limestone; highly to completely and slightly to moderately weathered; blocky/disturbed/seamy & disintegrated; very close to moderate joint spacing	T3, T6	0.75	1,600	9	35 (20-45)	0	145	0.25	37	22	-1	18	88	181
W2	R5, R6	"Weathered zone" - dolomite/limestone; slightly weathered to unweathered; blocky and very blocky; moderate to wide joint spacing	T4	5.7	7,300	9	50	0	170	0.25	124	40	-15	343	945	2,240
W4	R6	Dolomite/limestone; unweathered; massive to blocky; moderate to wide joint spacing	T3, T4	4	3,000	9	60	0	165	0.27	131	41	-22	428	834	1,560
M1	R8	Slate-shale; slightly weathered; blocky/foliated	T2	7.3	8,400	7	65	0	171	0.22	221	43	-75	1,036	1,562	5,310

Table 11. Average Effective Stress Shear Strengths for Compacted Soils

Unified Classification	Soil Type	Proctor Compaction <sup>1</sup>			Void Ratio e <sub>o</sub>	Cohesion <sup>2</sup> C' kgf/cm <sup>2</sup>	Friction Angle φ <sup>3</sup> deg
		Maximum Dry Unit Weight		Optimum Water Content %			
		pcf	kN/m <sup>3</sup>				
GW	well graded clean gravels, gravel-sand mixtures	> 119	> 19.4	< 13.3	*	> 30	
GP	poorly graded clean gravels, gravel-sand mixtures	> 110	> 18.0	< 12.4	*	> 37	
GM	silty gravels, poorly graded gravel-sand-silt	> 114	> 18.6	< 14.5	*	> 34	
GC	clayey gravels, gravel-sand-clay mixtures	> 115	> 18.8	< 14.7	*	> 31	
SW	well graded clean sand, gravelly sand	119 ± 2	19.4 ± 0.3	13.3 ± 2.5	0.37 ± *	30 ± 1	
SP	poorly graded clean sand, sand-gravel mixtures	110 ± 1	18.6 ± 0.2	12.4 ± 1.0	0.50 ± 0.03	37 ± 1	
SM	silty sands, sand-silt mixtures	114 ± 1	18.6 ± 0.2	14.5 ± 0.4	0.48 ± 0.02	34 ± 1	
SC-SM	sand-silt-clay with slightly plastic fines	119 ± 1	19.4 ± 0.2	12.8 ± 0.5	0.41 ± 0.02	33 ± 3	
SC	clayey sands, poorly graded sand-clay mixtures	115 ± 1	18.6 ± 0.2	14.7 ± 0.4	0.48 ± 0.01	31 ± 3	
ML	inorganic silts and clayey silts	103 ± 2	16.8 ± 0.3	19.2 ± 0.7	0.63 ± 0.02	32 ± 2	
CL-ML	mixtures of inorganic silts and clays	109 ± 1	17.6 ± 0.2	16.8 ± 0.7	0.54 ± 0.03	37 ± 2	
CL	inorganic clays of low to medium plasticity	108 ± 1	17.6 ± 0.2	17.3 ± 3.0	0.56 ± 0.01	28 ± 2	
OL	organic silts and silty clays of low plasticity	*	*	*	*	*	
MH	inorganic clayey silts, elastic silts	82 ± 4	13.4 ± 0.7	36.3 ± 3.2	1.15 ± 0.12	25 ± 3	
CH	inorganic clays of high plasticity	94 ± 2	15.3 ± 0.3	25.5 ± 1.2	0.80 ± 0.04	19 ± 5	
OH	organic clays and silty clays	*	*	*	*	*	

\* denotes insufficient data, > is greater than, < is less than  
 Maximum liquid limit for the MH soil : 81%  
 Maximum liquid limit for the CH soil : 88%

<sup>1</sup> USBR standard compaction. Energy per unit volume equivalent to AASHTO standard compaction.

<sup>2</sup> From specimens compacted to maximum dry unit weight and optimum water content. Specimens saturated before shear.



Table 1. Strength Parameters for Soils of Various Types and Degrees of Compaction

Unified Classification	RC Stand. AHTO	$\gamma_m$ Kips/ft <sup>3</sup>	$\phi_0$ deg	$\Delta\phi$ deg	C Kips/ft <sup>2</sup>
GW, GP SW, SP	105	0.150	42	9	0
	100	0.145	39	7	0
	95	0.140	36	5	0
	90	0.135	33	3	0
SM	100	0.135	36	8	0
	95	0.130	34	6	0
	90	0.125	32	4	0
	85	0.120	30	2	0
SM-SC	100	0.135	33	0	0.5
	95	0.130	33	0	0.4
	90	0.125	33	0	0.3
	85	0.120	33	0	0.2
CL	100	0.135	30	0	0.4
	95	0.130	30	0	0.3
	90	0.125	30	0	0.2
	85	0.120	30	0	0.1

Values in this table are conservative in the sense that they are typical of the lower values of strength and the higher values of unit weight for each type of soil (after Duncan, et al., 1980).

TABLE 2, PART B. CLASSIFICATION AND STRENGTH PARAMETERS FOR SOILS TESTED UNDER DRAINED CONDITIONS (CONTINUED)

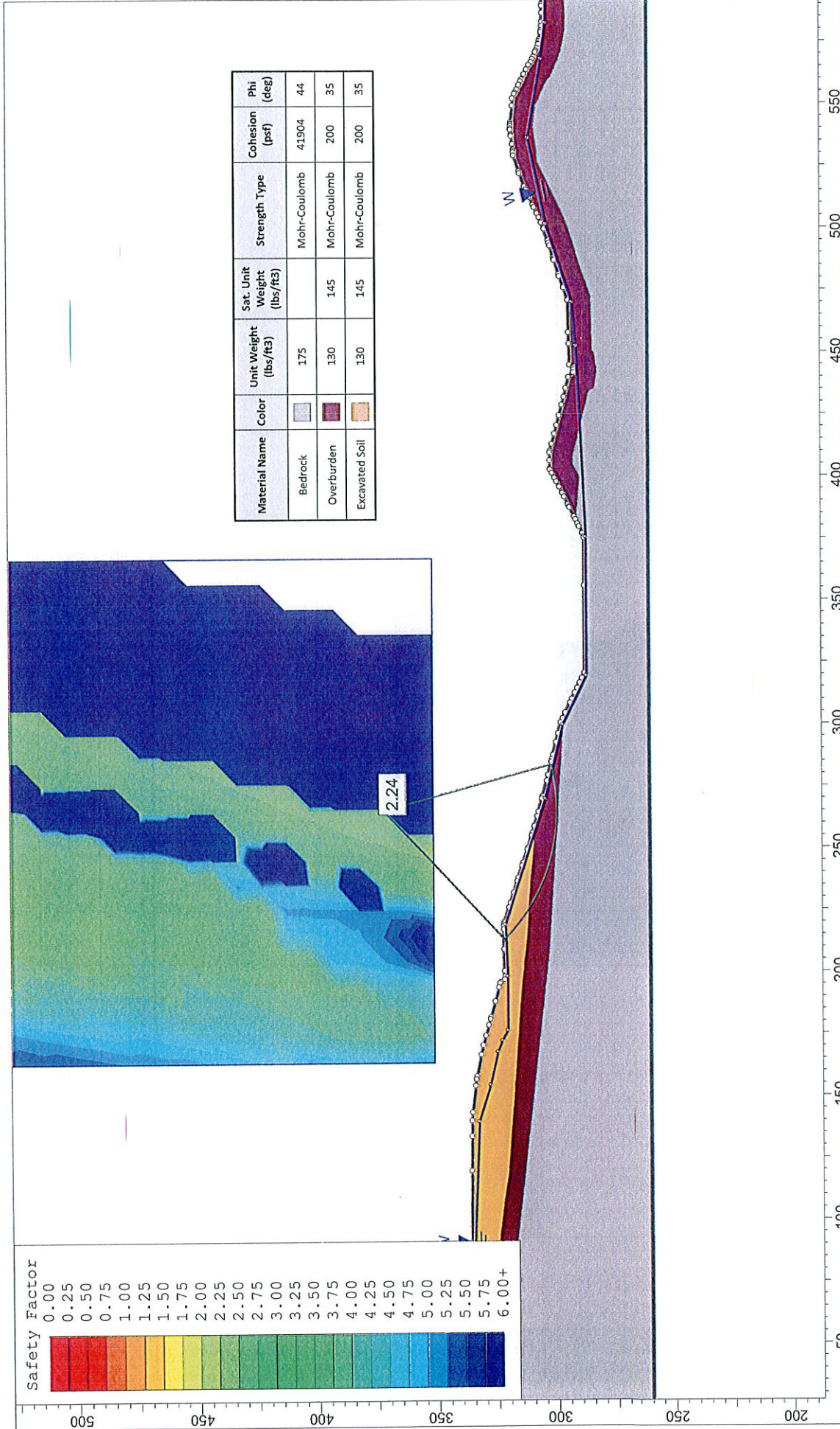
Soil	Group	Soil Description	Init. Void Ratio	Relative Density	Degree Saturation	Rating	Particle Shape	Stress Ratio (TSF)	Number of Tests	C (TSF)	Friction Angle
GW	GW-1	Conglomerate Rockfill (Nezahu Dam)	0.38	70		**	Sub-angular	1.8 - 25.5	3	0.	50 (10)
GW	GW-2	Granitic Gneiss Rockfill (Mica Dam)	0.32	95		***	Sub-angular	5.1 - 25.6	3	0.	44 (9)
GW	GW-3	Quartzite Rockfill (Pumas Dam Shell)				***	Sub-rounded	4.1 - 39.9	4	0.	48 (6)
GW	GW-4	Quartzite Rockfill (Pumas Dam Trans.)				***	Sub-rounded	4.1 - 36.9	4	0.	53 (7)
GW	GW-5	Pumas Dam Transition	0.34	65		**	Sub-rounded	4.1 - 36.9	4	0.	50 (7)
GW	GW-6	Pindandapan Gravel				**	Sub-rounded	0.4 - 28.5	6	0.	51 (6)
GP	GP-1	Diorite Rockfill (El Infernillo Dam)	0.56	50		**	Angular	0.4 - 17.0	7	0.	48 (9)
GP	GP-2	Sandy Gravel (Mica Dam Shell)	0.30	95		***	Sub-angular	7.2 - 32.5	3	0.	41 (5)
GP	GP-3	Basalt Rockfill				***	Angular	5.1 - 23.8	3	0.	52 (10)
GP	GP-6	Silty Sandy Gravel (Oroville Dam)	0.21	100		***	Rounded	9.0 - 48.8	4	0.	53 (9)
GP	GP-7	Amphibolite Gravel (Oroville Dam Shell)	0.20	100		**	Rounded	2.2 - 28.6	4	0.	51 (6)
GP	GP-11	Crushed Basaltic Rock (Round Butte Dam)	0.20	94.0		**	Angular	2.0 - 14.1	3	0.	51 (14)
GC	GC-13	Sandy Gravel (Rowell Dam)	0.203	100	51	**	Rounded	1.8 - 10.8	4	0.	58 (10)
GC	GC-1	Clayey Gravel (New Hogan Dam Core)				**	Rounded	1.1 - 4.3	3	0.28	19
SW	SW-1	Agillite Rockfill (Pyramid Dam Shell)	0.45	100		*	Angular	2.2 - 46.8	4	0.	53 (8)
SW	SW-2	Crushed Chert Basalt	0.43	100		*	Angular	2.2 - 46.8	4	0.	55 (10)
SW	SW-3	Silty Sand, Some Gravel (Round Butte Dam)				*	Sub-rounded	2.0 - 14.0	3	0.	38 (3)
SW	SW-5	Venado Sandstone (0.5 in. max. size)	0.47	93		***	Angular	2.2 - 28.6	4	0.	43 (4)
SP	SP-3	Glacial Cushman Sand	0.50	80		*	Sub-rounded	1.0 - 41.1	6	0.	44 (4)
SP	SP-4A	Sacramento River Sand	0.87	38		*	Rounded	1.0 - 41.1	6	0.	35 (2)
SP	SP-4B	Sacramento River Sand	0.76	90		*	Rounded	1.0 - 13.0	4	0.	37 (3)
SP	SP-4C	Sacramento River Sand	0.71	78		*	Rounded	1.0 - 41.1	6	0.	41 (5)
SP	SP-4D	Sacramento River Sand	0.61	100		*	Rounded	3.0 - 41.1	6	0.	45 (7)
SP	SP-5A	Horn River Sand	0.82	Loose		**	Rounded	7.2 - 287.0	4	0.	31 (5)
SP	SP-5B	Horn River Sand	0.84	Dense		**	Rounded	7.2 - 71.3	3	0.	47 (12)
SP	SP-7A	Poory Graded Sand (Port Allen Lock)	0.73	40		**	Rounded	0.9 - 3.9	3	0.	39 (5)
SP	SP-7B	Poory Graded Sand (Port Allen Lock)	0.85	73		**	Rounded	0.9 - 3.9	3	0.	40 (1)
SP	SP-7C	Poory Graded Sand (Port Allen Lock)	0.57	96		**	Rounded	0.9 - 3.9	3	0.	44 (3)
SP	SP-12	Course to Fine Sand (Round Butte Dam)	1.22	70		**	Rounded	2.0 - 14.0	3	0.	38 (6)
SP	SP-13	Pumiceous Sand (Round Butte Dam)				*	Angular	2.0 - 14.1	3	0.	48 (19)
SP	SP-14	Pumiceous Sand (Round Butte Dam)	0.65	77		*	Angular	2.0 - 14.1	3	0.	36 (5)
SP	SP-15A	Fine Silica Sand (Loose)		38		***	Rounded	1.0 - 5.1	3	0.	30 (5)
SP	SP-16B	Fine Silica Sand (Dense)		100		***	Rounded	1.0 - 5.1	3	0.	37 (8)
SP	SP-17A	Monterey No. 0 Sand (Cylind. Specimen)	0.54	27		***	Rounded	0.3 - 1.2	3	0.	35 (5)
SP	SP-17B	Monterey No. 0 Sand (Cubical Specimen)	0.78	27		**	Rounded	0.3 - 1.2	3	0.	39 (5)
SP	SP-17C	Monterey No. 0 Sand (Cylind. Specimen)	0.57	86		***	Rounded	0.3 - 1.2	3	0.	45 (8)
SP	SP-17D	Monterey No. 0 Sand (Cubical Specimen)	0.37	96		**	Angular	2.0 - 14.0	3	0.	47 (5)
SP	SP-18	Basaltic Sand (Round Butte Dam)				**	Angular	2.0 - 14.0	3	0.	39 (13)
SM	SM-4	Silty Sand (Chatfield Dam)				**	Sub-rounded	6.0 - 10.0	3	0.	37 (10)
SM	SM-5	Silty Silty Sand (Chatfield Dam)				**	Sub-rounded	6.0 - 10.0	3	0.	41 (10)
SM	SM-6	Silty Sand w/Pebbles (Round Butte Dam)				**	Angular	2.0 - 14.0	3	0.	46 (8)
SM	SM-9	Silty Sand w/Pumice (Round Butte Dam)				**	Angular	2.0 - 13.7	3	0.	43 (8)
SM	SM-13	Silty Sand (Round Butte Dam)				**	Sub-angular	2.0 - 14.1	3	0.	36 (5)
SM	SM-16	Silty Sand & Gravel (Round Butte Dam)				**	Sub-angular	2.0 - 14.0	3	0.	36 (11)
SM-SC	SM-SC-1A	Silty Clayey Sand (Mica Dam Core)				**					
SM-SC	SM-SC-1B	Silty Clayey Sand (Mica Dam Core)				**					
SM-SC	SM-SC-1C	Silty Clayey Sand (Mica Dam Core)				**					
ML	ML-1	Canonnese Silt (Undisturbed)	0.57			***		3.6 - 32.4	6	0.31	33
ML	ML-4	Sandy Silty w/Pumice (Round Butte Dam)				***		3.6 - 18.0	4	0.25	34
ML	ML-5	Sandy Silty w/Pumice (Round Butte Dam)				***		3.6 - 32.4	6	0.40	34
CL	CL-29C	Silty Clay (Canyon Dam)			69	**		1.5 - 7.4	4	0.	45 (8)
CL	CL-29D	Silty Clay (Canyon Dam)			79	**		2.0 - 13.9	2	0.	42 (7)
CL	CL-30E	Silty Clay (Canyon Dam)			88	**		2.0 - 13.9	3	0.	36 (1)
CL	CL-30F	Silty Clay (Canyon Dam)			85	**		1.0 - 8.2	4	0.17	30
CL	CL-34E	Silty Clay (Canyon Dam)			87	**		1.0 - 8.2	4	0.39	29
CL	CL-34F	Silty Clay (Canyon Dam)			87	**		1.0 - 4.0	4	0.31	33
CL	CL-34G	Silty Clay (Canyon Dam)				**		0.5 - 6.0	5	0.26	30
CL	CL-34H	Silty Clay (Canyon Dam)				**		0.5 - 6.0	5	0.26	31

TABLE 2. PART B. CLASSIFICATION AND STRENGTH PARAMETERS FOR SOILS TESTED UNDER DRAINED CONDITIONS (CONTINUED)

Soil	Group	Soil Description	Init. Void Ratio	Relative Density	Degree Saturation	Rating	Particle Shape	Stress Range (FSF)	Number of Tests	C (FSF)	Friction Angle
GW	GW-1	Conglomerate Rockfill (Metzabu Dam)	0.39	70		**	Sub-angular	1.9-26.5	3	0.	50 (10)
GW	GW-2	Granitic Gneiss Rockfill (Mica Dam)	0.32	65		***	Sub-angular	5.1-23.2	3	0.	44 (9)
GW	GW-3	Quartzite Rockfill (Furnas Dam Shell)				***	Sub-rounded	4.1-36.9	4	0.	49 (6)
GW	GW-4	Quartzite Rockfill (Furnas Dam Transak)				***	Sub-rounded	4.1-36.8	4	0.	53 (7)
GW	GW-5	Furnas Dam Transilion	0.34	65		**	Sub-rounded	4.1-38.9	4	0.	50 (7)
GW	GW-6	Pinzandapan Gravel				**	Sub-rounded	0.4-26.5	6	0.	51 (6)
GP	GP-7	Clotte Rockfill (El Infernillo Dam)	0.56	50		**	Angular	0.4-17.0	7	0.	46 (8)
GP	GP-8	Sandy Gravel (Mica Dam Shell)	0.30	95		***	Sub-angular	7.2-32.5	3	0.	41 (3)
GP	GP-9	Basalt Rockfill				***	Angular	5.1-26.6	3	0.	52 (10)
GP	GP-6	Silty Sandy Gravel (Crovillo Dam)				***	Rounded	9.0-48.8	4	0.	53 (8)
GP	GP-7	Amphibole Gravel (Crovillo Dam Shell)	0.21	100		**	Rounded	2.2-28.6	4	0.	51 (6)
GP	GP-11	Crushed Basaltic Rock (Round Butte Dam)	96.0			**	Angular	2.0-14.1	3	0.	51 (14)
GP	GP-13	Sandy Gravel (Rowell Dam)				**	Rounded	1.8-10.8	4	0.	58 (10)
GC	GC-1	Clayey Gravel (New Hegan Dam Core)	0.233	100	51	**	Rounded	1.1-4.3	3	0.28	19
SW	SW-1	Agillite Rockfill (Pyramid Dam Shell)	0.45	100		*	Angular	2.2-49.8	4	0.	53 (8)
SW	SW-2	Crushed Olivine Basalt	0.43	100		*	Angular	2.2-48.6	4	0.	55 (10)
SW	SW-3	Silty Sand, Some Gravel (Round Butte Dam)				*	Sub-rounded	2.0-14.0	3	0.	38 (3)
SW	SW-5	Venato Sandstone (0.5 in. max. size)				*	Angular	2.2-28.6	4	0.	43 (4)
SP	SP-3	Glacial Cobble Sand	0.47	60		*	Sub-rounded	1.0-41.1	6	0.	44 (4)
SP	SP-4A	Sacramento River Sand	0.87	38		*	Rounded	3.0-41.1	6	0.	35 (2)
SP	SP-4B	Sacramento River Sand	0.78	60		*	Rounded	1.0-13.0	4	0.	37 (3)
SP	SP-4C	Sacramento River Sand	0.71	78		*	Rounded	1.0-41.1	6	0.	41 (6)
SP	SP-4D	Sacramento River Sand	0.61	100		*	Rounded	3.0-41.1	6	0.	45 (7)
SP	SP-5A	Horn River Sand	0.82	Loose		**	Rounded	7.2-287.9	4	0.	31 (3)
SP	SP-5B	Horn River Sand	0.74	Dense		**	Rounded	0.9-3.9	3	0.	47 (9)
SP	SP-7A	Poorly Graded Sand (Port Allen Lock)	0.73	48		**	Rounded	0.9-3.9	3	0.	39 (6)
SP	SP-7B	Poorly Graded Sand (Port Allen Lock)	0.65	73		**	Rounded	0.9-3.9	3	0.	40 (1)
SP	SP-7C	Poorly Graded Sand (Port Allen Lock)	0.57	88		**	Rounded	0.9-3.9	3	0.	48 (1)
SP	SP-7D	Coarse to Fine Sand (Round Butte Dam)	1.22	70		**	Rounded	2.0-14.0	3	0.	39 (6)
SP	SP-13	Pumiceous Sand (Round Butte Dam)				*	Angular	2.0-14.1	3	0.	45 (10)
SP	SP-14	Pumiceous Sand (Round Butte Dam)				**	Angular	1.0-5.1	3	0.	49 (12)
SP	SP-16A	Fine Silica Sand (Loose)	0.55	71		**	Rounded	1.0-5.1	3	0.	30 (6)
SP	SP-16B	Fine Silica Sand (Dense)				**	Rounded	0.3-1.2	3	0.	37 (6)
SP	SP-17A	Monterey No. 0 Sand (Cylind. Specimen)	0.54	100		***	Rounded	0.3-1.2	3	0.	35 (6)
SP	SP-17B	Monterey No. 0 Sand (Cubical Specimen)	0.78	27		***	Rounded	0.3-1.2	3	0.	39 (6)
SP	SP-17C	Monterey No. 0 Sand (Cylind. Specimen)	0.57	68		***	Rounded	0.3-1.2	3	0.	45 (3)
SP	SP-17D	Monterey No. 0 Sand (Cubical Specimen)	0.57	88		**	Rounded	0.3-1.2	3	0.	47 (5)
SP	SP-18	Basaltic Sand (Round Butte Dam)				**	Angular	2.0-14.0	3	0.	39 (13)
SM	SM-4	Silty Sand (Chattfield Dam)				**	Sub-rounded	6.0-10.0	3	0.	37 (9)
SM	SM-5	Silty Gravelly Sand (Chattfield Dam)				**	Sub-rounded	6.0-10.0	3	0.	41 (6)
SM	SM-6	Silty Sand w/Pebbles (Round Butte Dam)				***	Angular	2.0-14.0	3	0.	48 (6)
SM	SM-9	Silty Sand w/Pumice (Round Butte Dam)				**	Angular	2.0-13.7	3	0.	43 (8)
SM	SM-13	Silty Sand (Round Butte Dam)				**	Sub-angular	2.0-14.1	3	0.	36 (8)
SM	SM-18	Silty Sand & Gravel (Round Butte Dam)				***	Sub-angular	2.0-14.0	3	0.	38 (11)
SM-SC	SM-SC-1A	Silty Clayey Sand (Mica Dam Core)				**	Angular	3.6-32.4	6	0.31	33
SM-SC	SM-SC-1B	Silty Clayey Sand (Mica Dam Core)				***	Sub-angular	3.6-18.0	4	0.85	34
SM-SC	SM-SC-1C	Silty Clayey Sand (Mica Dam Core)				***	Sub-angular	3.6-32.4	6	0.40	34
ML	ML-1	Cannonville Silt (Undisturbed)				*		1.5-7.4	4	0.	45 (9)
ML	ML-4	Sandy Silty w/Pumice (Round Butte Dam)	0.57			*		2.0-13.9	3	0.	42 (7)
ML	ML-5	Sandy Silty w/Pumice (Round Butte Dam)				**		2.0-13.9	3	0.	38 (1)
CL	CL-29C	Silty Clay (Canyon Dam)				**		1.0-8.2	4	0.17	30
CL	CL-29D	Silty Clay (Canyon Dam)		69		**		1.0-8.2	4	0.59	29
CL	CL-30E	Silty Clay (Canyon Dam)		68		**		1.0-8.2	4	0.51	33
CL	CL-30F	Silty Clay (Canyon Dam)		86		**		1.0-4.0	4	0.39	30
CL	CL-34E	Silty Clay (Canyon Dam)		87		**		0.5-8.0	5	0.26	31

## Appendix B – Slide Output

## Appendix B1. Section 1 – Construction



Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )	Sat. Unit Weight (lbs/ft <sup>3</sup> )	Strength Type	Cohesion (psf)	Phi (deg)
Bedrock	[Grey Box]	175		Mohr-Coulomb	41904	44
Overburden	[Red Box]	130	145	Mohr-Coulomb	200	35
Excavated Soil	[Orange Box]	130	145	Mohr-Coulomb	200	35



West Connection Support Area - Slope Stability

Section 1 - Construction

Company: JA Underground; P.C. dba Jacobs Associates

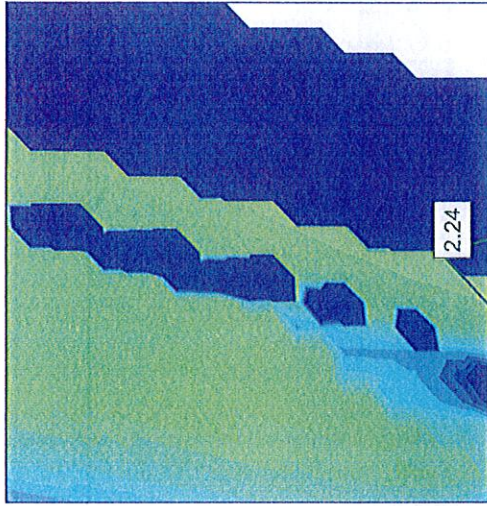
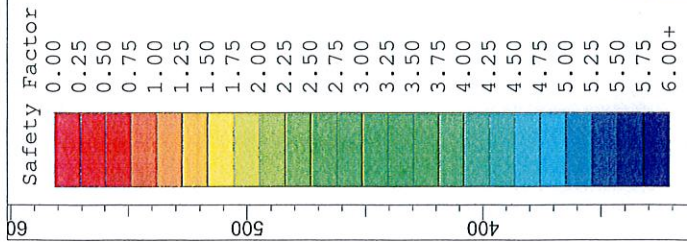
File Name: Section 1.slim

Analysis Description

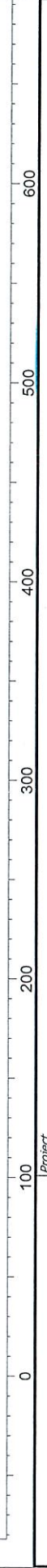
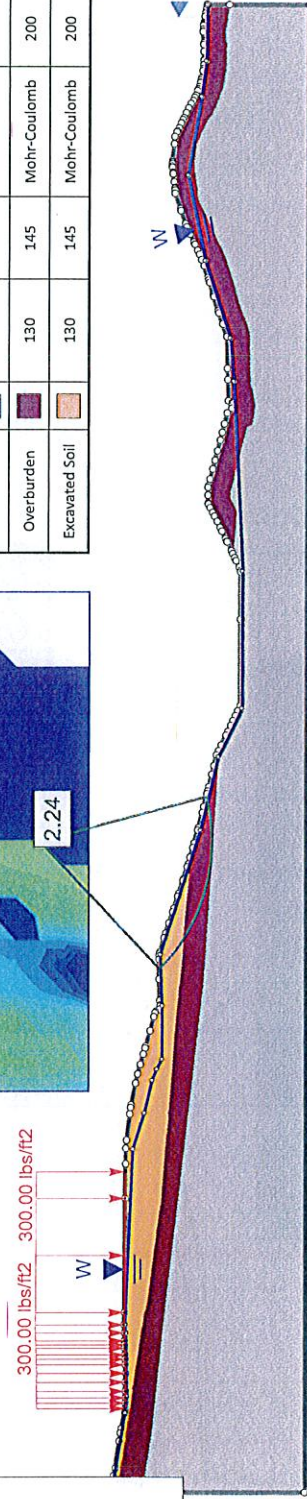
Drawn By: DE/KSC

Scale: 1:658

Date

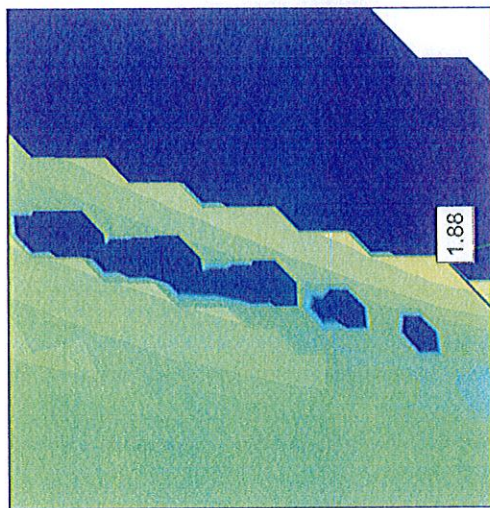
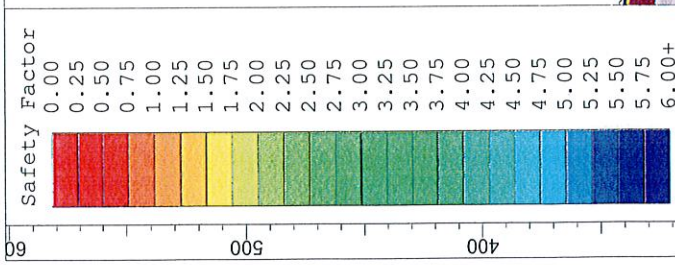


Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )	Sat. Unit Weight (lbs/ft <sup>3</sup> )	Strength Type	Cohesion (psf)	Phi (deg)
Bedrock		175		Mohr-Coulomb	41904	44
Overburden		130	145	Mohr-Coulomb	200	35
Excavated Soil		130	145	Mohr-Coulomb	200	35

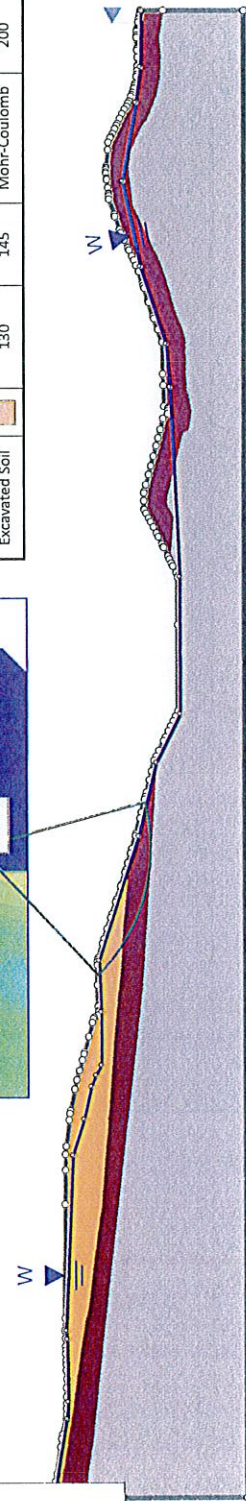


<b>Project</b>		West Connection Support Area - Slope Stability	
<b>Analysis Description</b>		Section 1 - Construction - Surcharge	
<b>Drawn By</b>	DE/KSC	<b>Scale</b>	1:903
<b>Date</b>		<b>Company</b>	JA Underground: P.C. dba Jacobs Associates
		<b>File Name</b>	Section 1 - Surcharge.slm





Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )	Sat. Unit Weight (lbs/ft <sup>3</sup> )	Strength Type	Cohesion (psf)	Phi (deg)
Bedrock	[Grey]	175		Mohr-Coulomb	41904	44
Overburden	[Red]	130	145	Mohr-Coulomb	200	35
Excavated Soil	[Orange]	130	145	Mohr-Coulomb	200	35

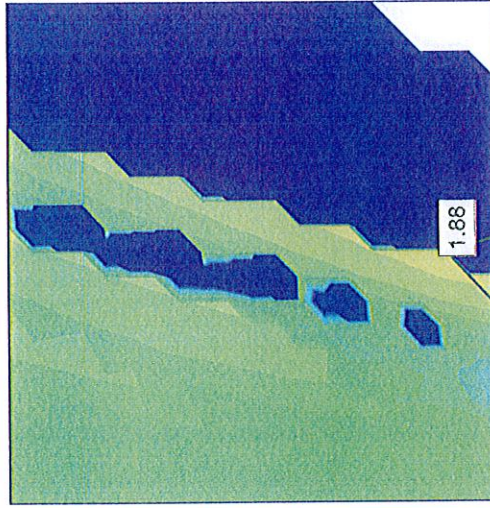
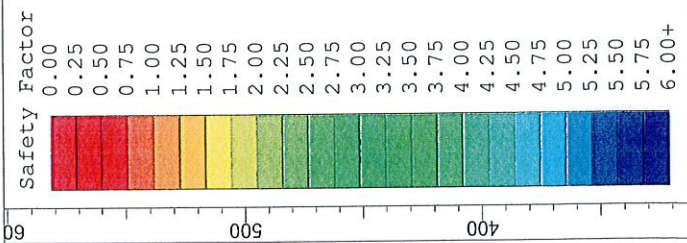


West Connection Support Area - Slope Stability

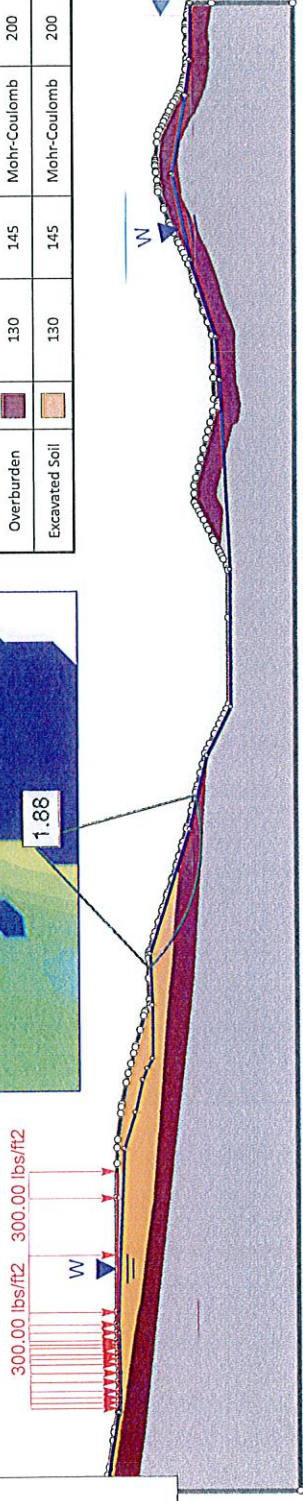
Project		Section 1 - Construction - Seismic	
Analysis Description		Section 1 - Construction - Seismic	
Drawn By	DE/KSC	Scale	1:903
Date		Company	JA Underground: P.C. dba Jacobs Associates
		File Name	Section 1 - Seismic.slm







Material Name	Color	Unit Weight (lbs/ft3)	Sat. Unit Weight (lbs/ft3)	Strength Type	Cohesion (psf)	Phi (deg)
Bedrock		175		Mohr-Coulomb	41904	44
Overburden		130	145	Mohr-Coulomb	200	35
Excavated Soil		130	145	Mohr-Coulomb	200	35

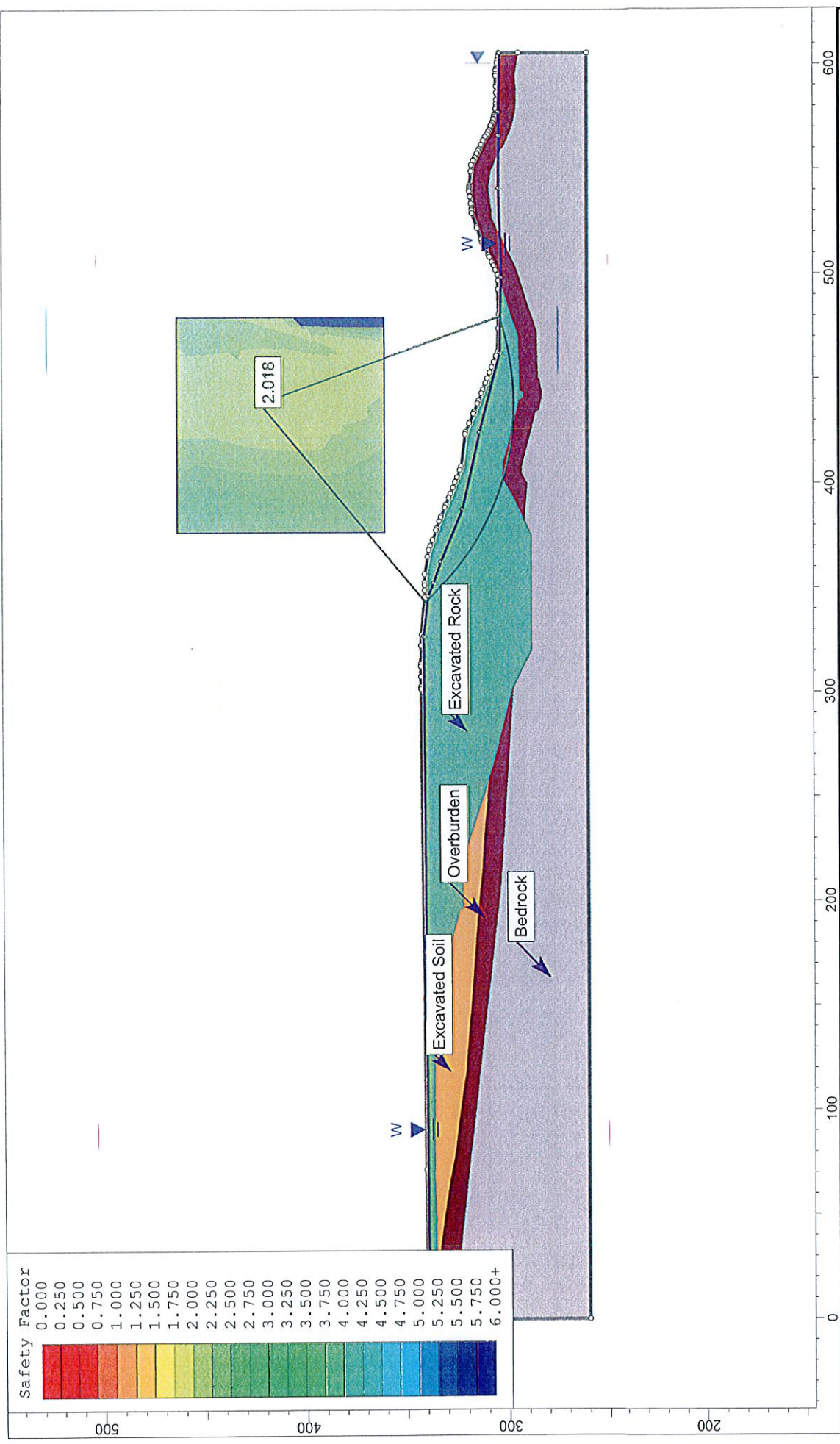



SLIDINTERPRET 6.031

Project		West Connection Support Area - Slope Stability	
Analysis Description		Section 1 - Construction - Surcharge - Seismic	
Drawn By	DE/KSC	Company	JA Underground: P.C. dba Jacobs Associates
Date		File Name	Section 1 - Surcharge - Seismic.slm
Scale	1:903		

## Appendix B2. Section 1 – Final





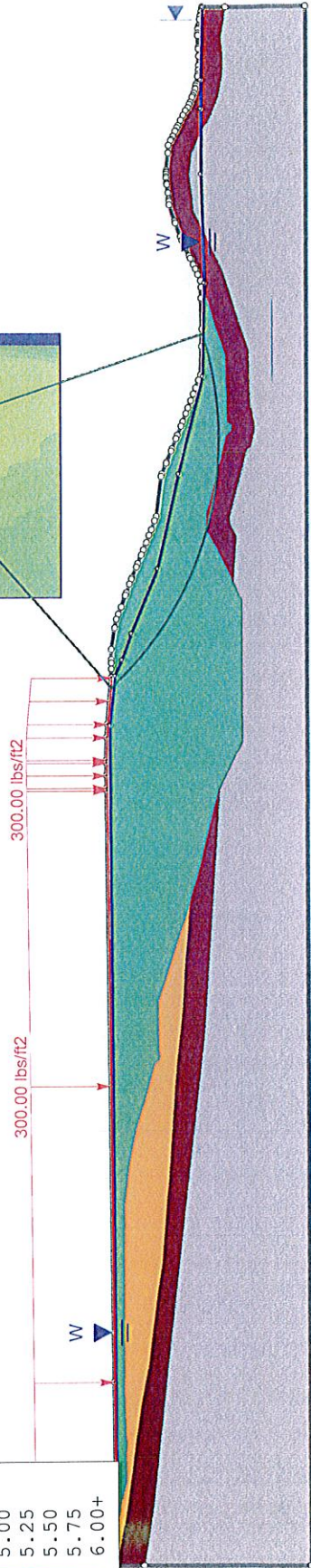
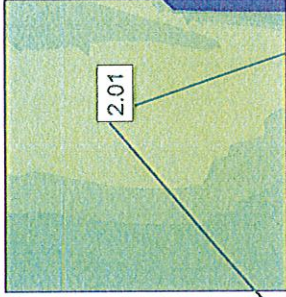
		Project		Section 1 - Final	
		West Connection Support Area - Slope Stability		Company	
Analysis Description		Scale		JA Underground: P.C. dba Jacobs Associates	
Drawn By		1:780		File Name	
DE/KSC				Section 1.slm	
Date					
SLIDEINTERPRET 6.031					

Safety Factor



0.00  
0.25  
0.50  
0.75  
1.00  
1.25  
1.50  
1.75  
2.00  
2.25  
2.50  
2.75  
3.00  
3.25  
3.50  
3.75  
4.00  
4.25  
4.50  
4.75  
5.00  
5.25  
5.50  
5.75  
6.00+

Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )	Sat. Unit Weight (lbs/ft <sup>3</sup> )	Strength Type	Cohesion (psf)	Phi (deg)	Water Surface	Hu Type	Hu
Bedrock		175		Mohr-Coulomb	41904	44	Water Surface	Custom	1
Overburden		130	145	Mohr-Coulomb	200	35	Water Surface	Custom	1
Excavated Rock		135		Mohr-Coulomb	0.01	40	Water Surface	Custom	1
Excavated Soil		130		Mohr-Coulomb	200	35	Water Surface	Custom	1



SLIDEINTERPRET 6.031

West Connection Support Slope Stability

Section 1 - Final with Surcharge

Analysis Description

Drawn By

DE/KSC

Date

Scale

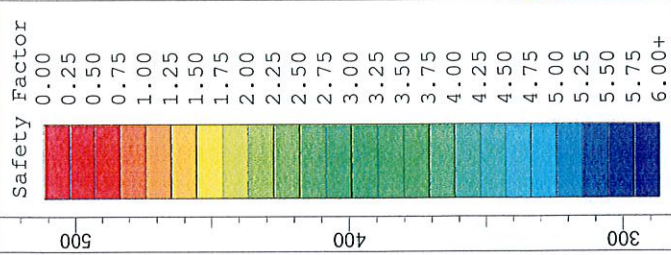
1:780

Company

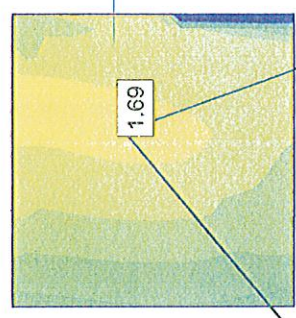
JA Underground: P.C. dba Jacobs Associates

File Name

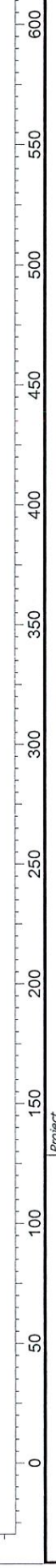
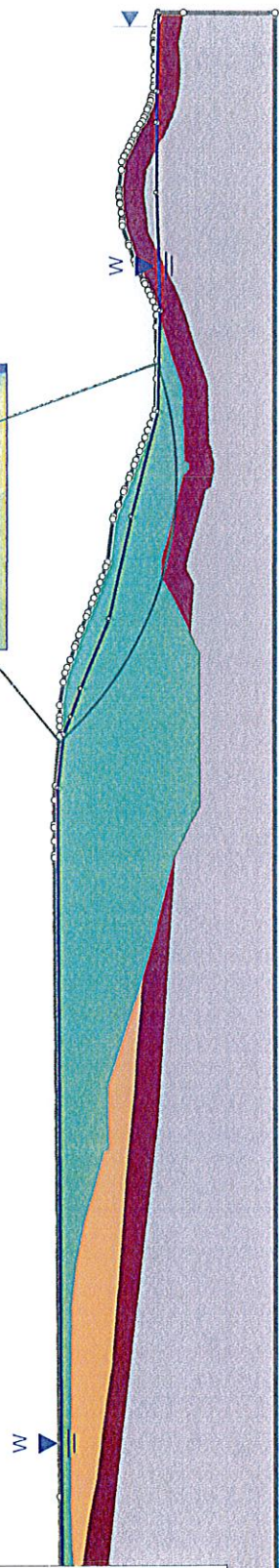
Section 1 - Surcharge.slm



0.0546

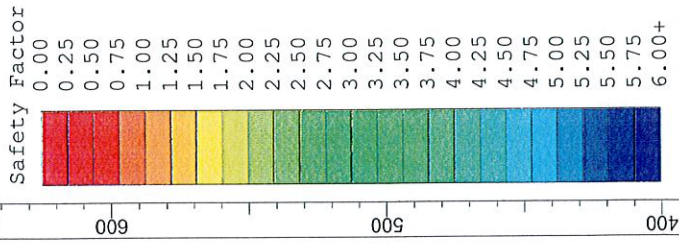


Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )	Sat. Unit Weight (lbs/ft <sup>3</sup> )	Strength Type	Cohesion (psf)	Phi (deg)	Water Surface
Bedrock		175		Mohr-Coulomb	41904	44	Water Surface
Overburden		130	145	Mohr-Coulomb	200	35	Water Surface
Excavated Rock		135		Mohr-Coulomb	0.01	40	Water Surface
Excavated Soil		130	145	Mohr-Coulomb	200	35	Water Surface

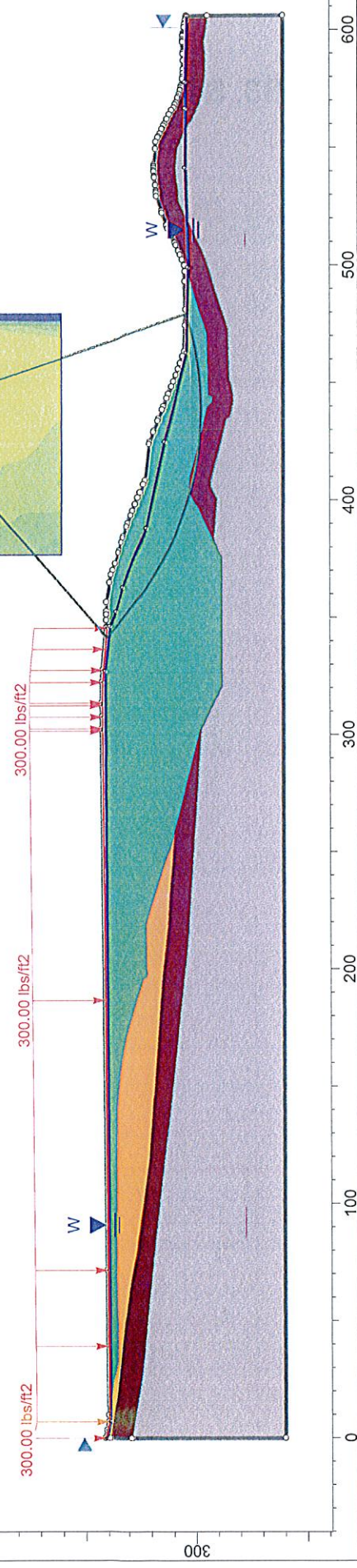
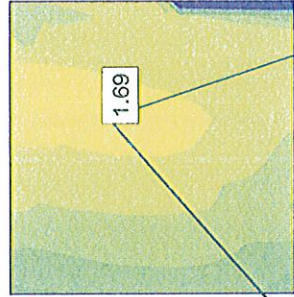


West Connection Support Area - Slope Stability

	Project	
	Section 1 - Final - Seismic	
Analysis Description		Company
Drawn By		JA Underground: P.C. dba Jacobs Associates
Date	Scale	File Name
	DE/KSC	1:780
		Section 1 - Seismic.slm



Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )	Sat. Unit Weight (lbs/ft <sup>3</sup> )	Strength Type	Cohesion (psf)	Phi (deg)	Water Surface
Bedrock	[Grey]	175		Mohr-Coulomb	41904	44	Water Surface
Overburden	[Red]	130	145	Mohr-Coulomb	200	35	Water Surface
Excavated Rock	[Green]	135		Mohr-Coulomb	0.01	40	Water Surface
Excavated Soil	[Orange]	130	145	Mohr-Coulomb	200	35	Water Surface



**to science**

SLIDENTERPRET 6.031

Project: West Connection Support Slope Stability

Analysis Description: Section 1 - Final with Surcharge

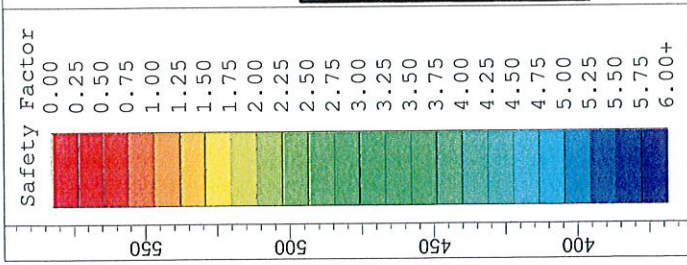
Drawn By: DE/KSC

Date: 1:780

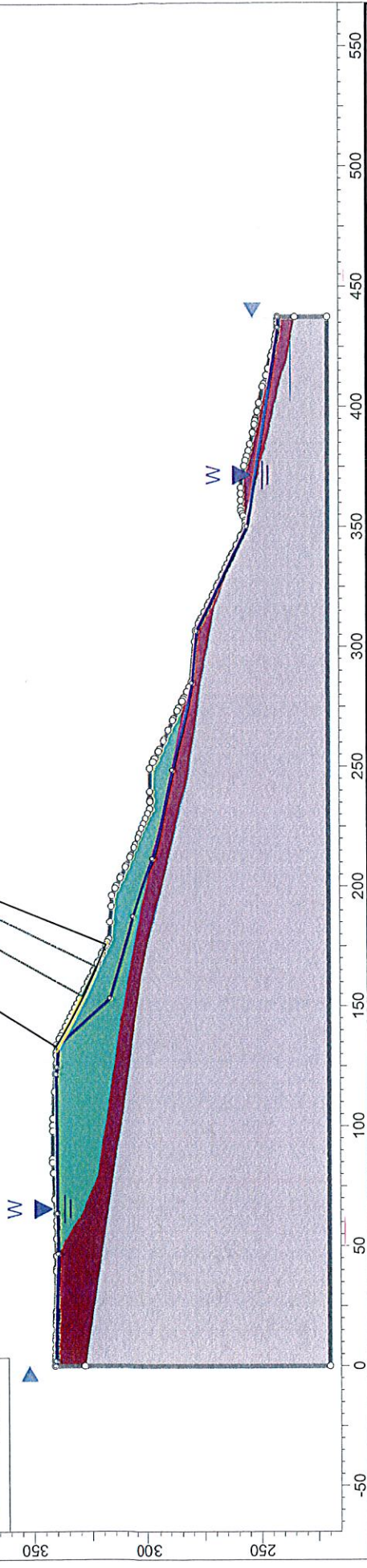
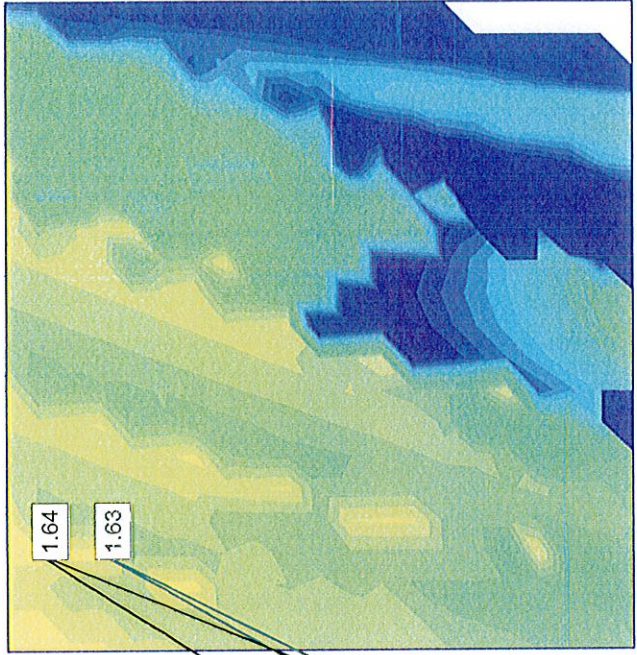
Company: JA Underground: P.C. dba Jacobs Associates

File Name: Section 1 - Surcharge - Seismic.slim

## Appendix B3. Section 2



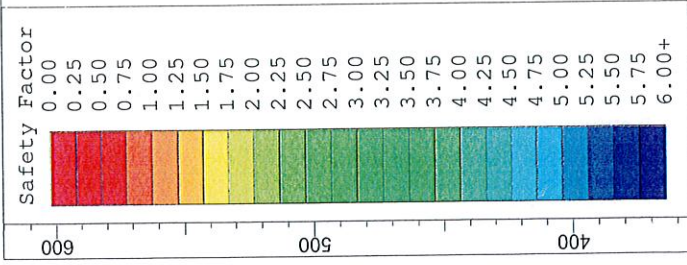
Material Name	Color
Bedrock	
Overburden	
Excavated Rock	



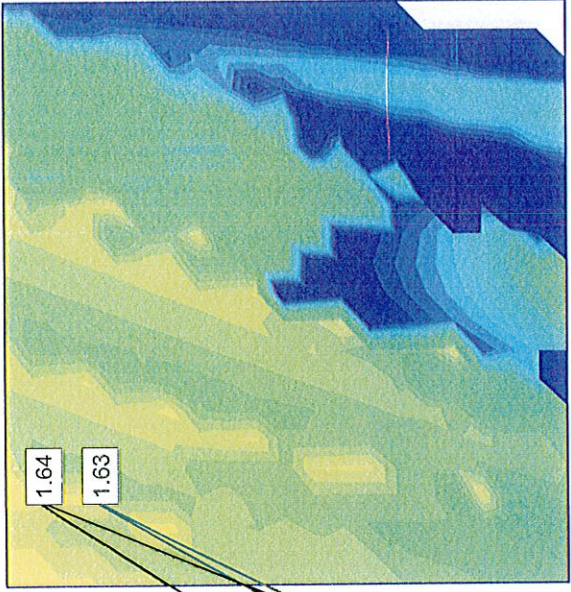
<b>Project</b>		West Connection Support Area - Slope Stability	
<b>Analysis Description</b>		Section 2	
<b>Drawn By</b>	<b>Scale</b>	<b>Company</b>	<b>File Name</b>
DE/KSC	1:742	JA Underground: P.C. dba Jacobs Associates	Section 2 - Drainage Measures.slim
<b>Date</b>			



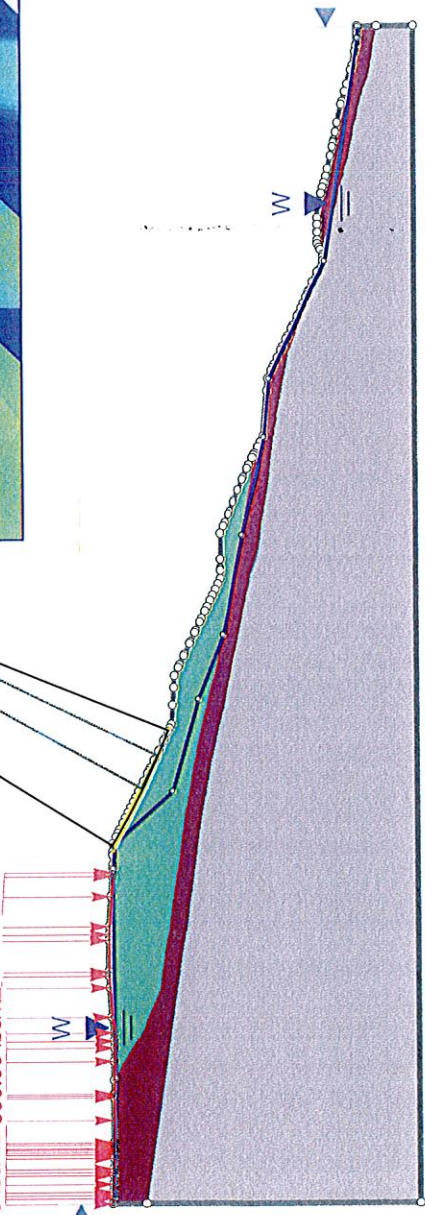




Material Name	Color
Bedrock	
Overburden	
Excavated Rock	

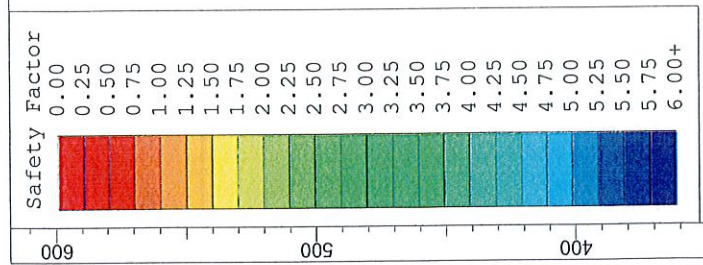


300.00 lbs/ft<sup>2</sup> 300.00 lbs/ft<sup>2</sup> 300.00 lbs/ft<sup>2</sup>

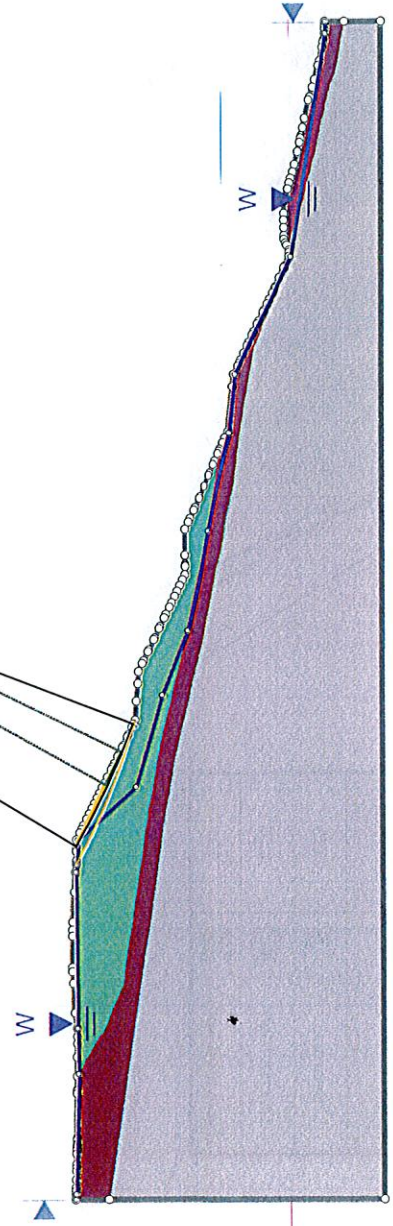
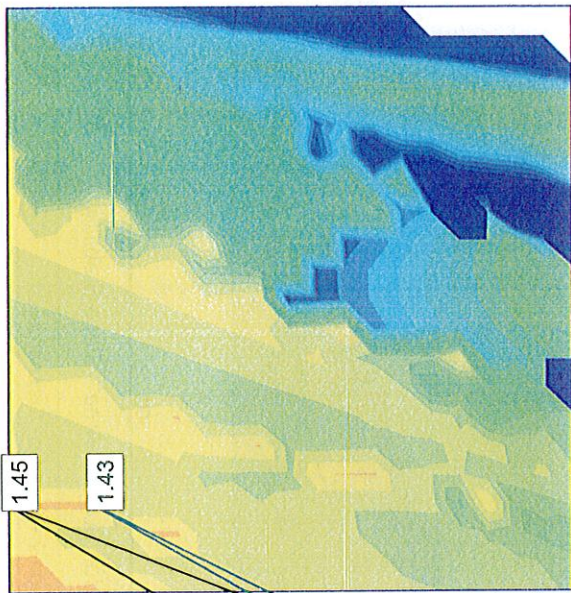


<b>Project</b>		West Connection Support Area - Slope Stability	
<b>Analysis Description</b>		Section 2 - Surcharge	
<b>Drawn By</b>	DE/KSC	<b>Scale</b>	1:824
<b>Company</b>	JA Underground: P.C. dba Jacobs Associates	<b>File Name</b>	Section 2 - Drainage Measures - Surcharge.slim
<b>Date</b>			





Material Name	Color
Bedrock	
Overburden	
Excavated Rock	



West Connection Support Area - Slope Stability

Section 2 - Seismic

Analysis Description

Scale 1:824

Drawn By DE/KSC

Company JA Underground: P.C. dba Jacobs Associates

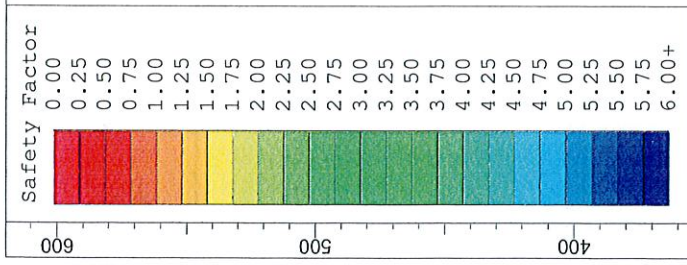
Date

File Name Section 2 - Drainage Measures - Seismic.slm

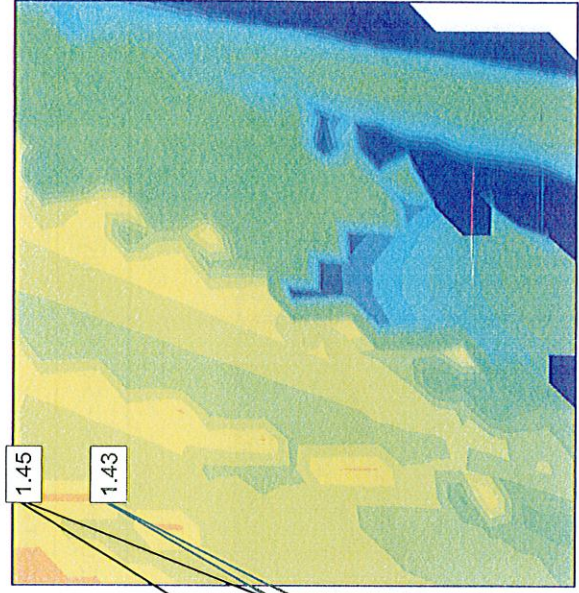
Project



SLIDEINTERPRET 6.031



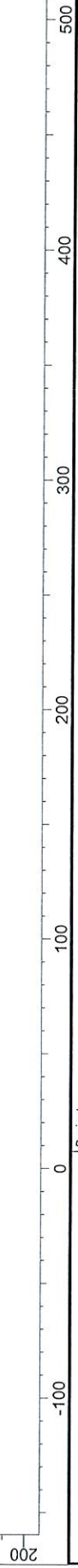
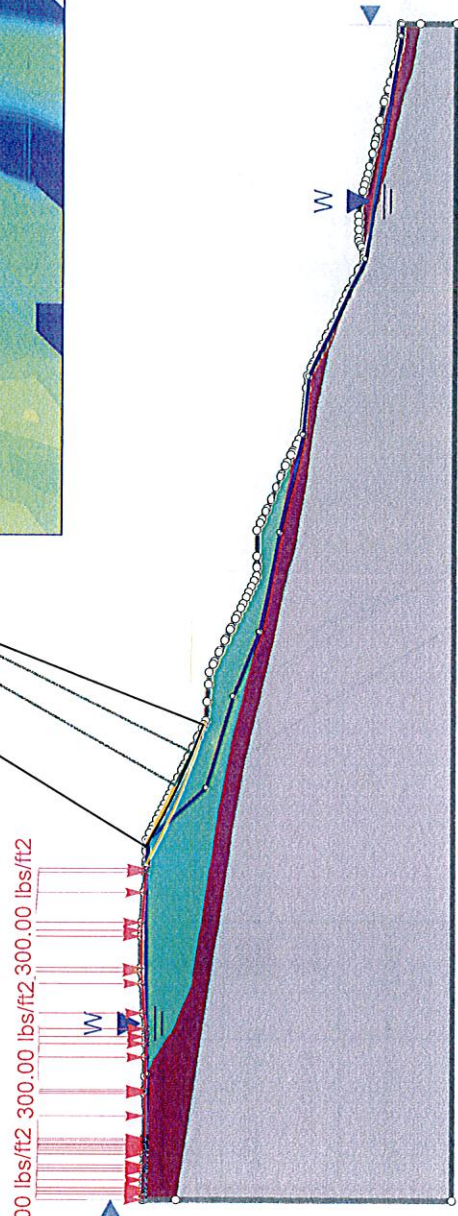
Material Name	Color	Unit Weight (lbs/ft <sup>3</sup> )
Bedrock		175
Overburden		130
Excavated Rock		135



0.0546



300.00 lbs/ft<sup>2</sup> 300.00 lbs/ft<sup>2</sup> 300.00 lbs/ft<sup>2</sup>



Project

West Connection Support Area - Slope Stability

Analysis Description

Section 2 - Seismic - Surcharge

Drawn By

DE/KSC

Scale

1:824

Company

JA Underground: P.C. dba Jacobs Associates

Date

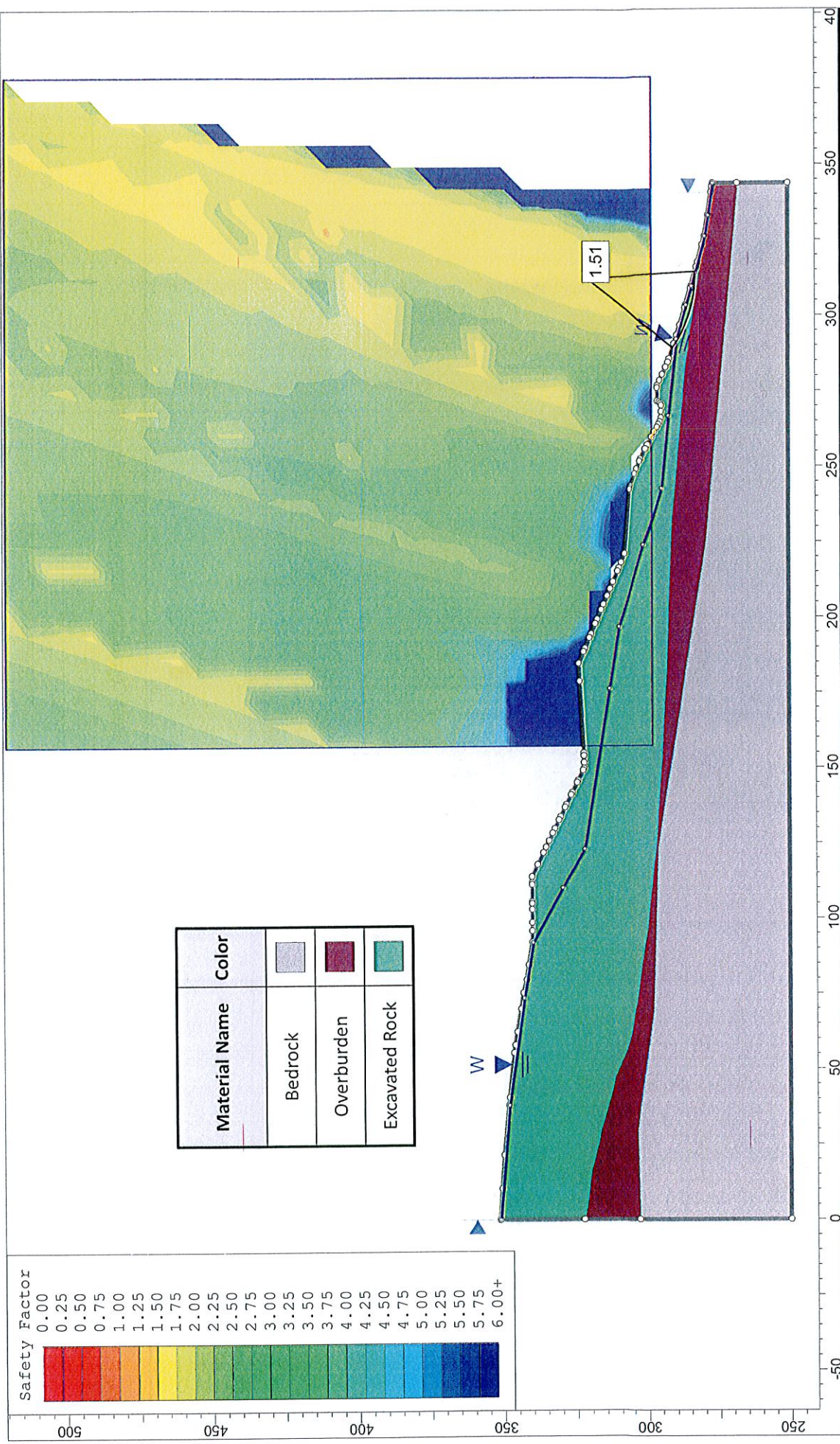
File Name


Section 2 - Drainage Measures - Seismic Surcharge.slim

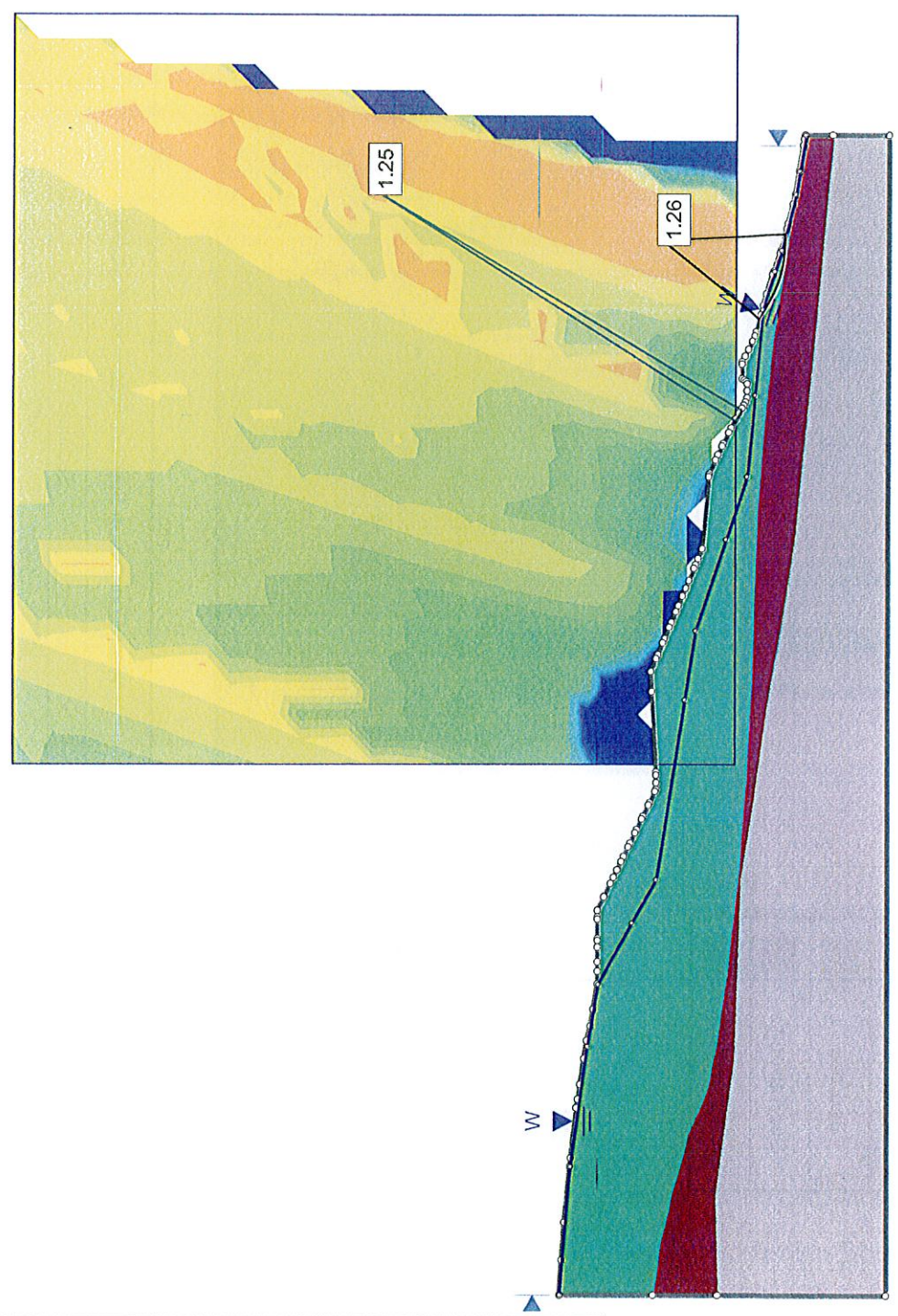
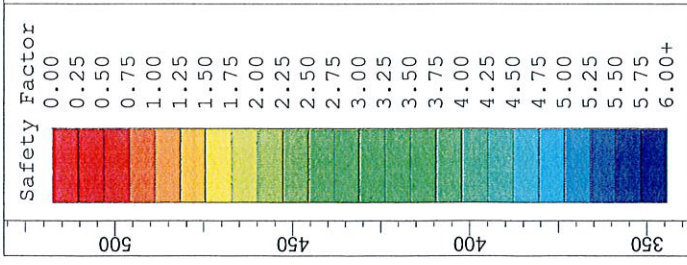
SLIDEINTERPRET 6.031


## Appendix B4. Section 3





		Project		Section 3	
		West Connection Support Area - Slope Stability			
Analysis Description		Scale	Company	File Name	
DE/KSC		1:541	JA Underground: P.C. dba Jacobs Associates	Section 3 - Drainage Measures.slm	
Drawn By		Date			



		Project West Connection Support Area - Slope Stability	
		Analysis Description Section 3 - Seismic	
Drawn By DE/JKSC	Scale 1:601	Company JA Underground: P.C. dba Jacobs Associates	File Name Section 3 - Drainage Measures - Seismic.slim
Date		SLIDEINTERPRET 6.031	